



EVALUATION

Baseline Report: Impact Evaluation of the Feed the Future Tanzania Land Tenure Assistance Activity

August 23, 2017

This publication was produced for review by the United States Agency for International Development. It was prepared independently for the E3 Analytics and Evaluation Project by Management Systems International, A Tetra Tech Company; and NORC at the University of Chicago.

COVER PHOTO

Caption: A farmer and her child walking in Iringa, Tanzania.

Credit: Jacob Patterson-Stein, Management Systems International

BASELINE REPORT

IMPACT EVALUATION OF THE FEED THE FUTURE TANZANIA LAND TENURE ASSISTANCE ACTIVITY

Contracted under AID-OAA-M-13-00017

E3 Analytics and Evaluation Project

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DISCLAIMER

The author's views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

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ACRONYMS

CCRO Certificate of Customary Right of Occupancy

DDL Development Data Library (USAID)

DLO District Land Office

E3 Bureau for Economic Growth, Education, and Environment (USAID)

FTF Feed the Future

GOT Government of Tanzania

GSES Generalized Self-Efficacy Scale

HH Household

ICC Intra-Cluster Correlation

IE Impact Evaluation

KII Key Informant Interview

LTA Land Tenure Assistance

LU Office of Land and Urban (USAID/E3)

MAST Mobile Application to Secure Tenure

MDES Minimum Detectable Effect Size

MSI Management Systems International

NGO Non-Governmental Organization

PLC Office of Planning, Learning, and Coordination (USAID/E3)

RCT Randomized Controlled Trial

SAGCOT Southern Agricultural Growth Corridor of Tanzania

SOW Statement of Work

VLUP Village Land Use Plan

USAID United States Agency for International Development

ACKNOWLEDGMENTS

The evaluation team thanks the families and village leaders in Iringa District who shared their time to participate in this study. The Iringa District Land Office and the Tanzanian Ministry of Lands, Housing, and Human Settlements both deserve praise for their assistance, cooperation, and patience with the evaluation process and team members. Special thanks to Research Solutions Africa for conducting the household surveys throughout Iringa's rainy season, and to the LTA activity staff for their continued collaboration, cooperation, and communication. The team also thanks Gerald Usika for his quality oversight during data collection, his translation support, and general guidance as the local coordinator for this evaluation. The evaluation benefited from peer reviews of the evaluation design proposal from Seth Gitter and James Fenske, as well as review and input from USAID/Tanzania monitoring and evaluation staff. The evaluation team also appreciates the technical review and support for this report from Management Systems International's Carolyn Fonesca, Jeremy Gans, Andrew Green, Amanda Kitanga, and Irene Velez. The team also thanks Ioana Bouvier (USAID/E3/LU), Sarah Lowery (USAID/E3/LU), Harold Carey (USAID/Tanzania), and Bhavani Pathak (USAID/E3/PLC) for their continued support of this study.

EXECUTIVE SUMMARY

This baseline report corresponds to the impact evaluation (IE) of the Feed the Future Tanzania Land Tenure Assistance (LTA) activity that the Office of Land and Urban in the United States Agency for International Development's (USAID's) Bureau for Economic Growth, Education, and Environment commissioned. The evaluation incorporates a randomized controlled trial design to rigorously test how mobile mapping and facilitation of land tenure certification affect income, women's empowerment, dispute prevalence, and other factors related to land use and tenure security in Iringa District, Tanzania. This document provides findings from the IE baseline, which show a snapshot of key demographics, household characteristics, and outcome variables. The document also investigates the balance between treatment and control groups and revisits the power calculations from the evaluation design proposal using parameters from the baseline dataset.

LTA Activity Description

Tanzania presents a dynamic land tenure context. All land in Tanzania is owned by the state and held in trust by the president, but individuals residing on or using designated "Village Land" have the right to obtain formal documentation of their tenure rights in the form of a Certificate of Customary Right of Occupancy (CCRO). However, insufficient capacity in district land offices (DLOs) that issue CCROs, a lack of funds to pay CCRO fees, unfamiliarity with formal land laws, and other factors have resulted in few villagers obtaining formal documentation for their plots. Increasingly, the Government of Tanzania (GOT) and the donor community are recognizing that improving the security of land rights is essential to protect the rights of smallholders, reduce disputes and tensions, and maximize the economic potential of the region.

USAID/Tanzania awarded the four-year, a \$6 million LTA activity to DAI in December 2015 to clarify and document land ownership, support local land use planning efforts, and increase local understanding of land use and land rights in Tanzania. The LTA activity assists villages and the local DLO in Iringa and Mbeya districts in completing the land use planning process and delivering CCROs in select villages. It also includes education on land laws, CCROs, and land management. The LTA activity is using the Mobile Application to Secure Tenure (MAST), an app that facilitates the mapping and CCRO process. The LTA activity will be implemented in 36 villages: 6 that were chosen for initial implementation and the additional 30 as part of the IE in Iringa District, Tanzania.

Evaluation Questions

Table I shows five questions addressed by the LTA IE that the evaluation team developed and finalized in collaboration with USAID, derived from the LTA theory of change.

I For more on Tanzania's land ownership system, see the USAID Country Profile, "Land Tenure and Property Rights: Tanzania" at https://www.land-links.org/wp-content/uploads/2016/09/USAID_Land_Tenure_Tanzania_Country_Profile.pdf.

TABLE 1:THEMATIC AREAS OF INVESTIGATION AND EVALUATION QUESTIONS

Thematic Area	Evaluation Questions
Tenure security and land management	I. In what ways and to what extent do landholders who have received formal land documentation through the assistance of LTA perceive their land rights to be more secure?
2. Land disputes	2. To what extent are landholders who have received formal land documentation through the assistance of LTA less likely to experience land disputes?2.1 What kinds of disputes (if any) are affected and what are the mechanisms by which LTA affects them?
3. Investment and land use	 3. To what extent do landholders who have received formal land documentation through the assistance of LTA change their investment and land use decisions in a manner that reflects strengthened incentives resulting from increased tenure security? 3.1 What (if any) are the specific decisions that are affected and how does LTA influence them?
4. Empowerment	 4. To what extent do the LTA outreach and communication activities, as well as mapping, verification, and the formal registration of land, lead to a greater sense of empowerment on the part of women, youth, and pastoralists? 4.1 What (if any) are the specific aspects of empowerment that are affected and how does LTA influence them?
5. Economic and environmental outcomes	5. To what extent do the LTA interventions to strengthen land tenure lead to increased agricultural productivity, household income, and wealth, as well as more environmentally sustainable land-use practices and associated environmental benefits? 5.1 Which (if any) of these outcomes are affected and how does LTA influence them?

Evaluation Design

The LTA IE uses a cluster randomized design with villages randomly assigned to receive the LTA activity or serve as control villages. Villages in Iringa tend to change over time, with villages subdivided and splitting. A unique aspect of this IE is that the evaluation team, in coordination with DAI, joined with the Iringa DLO and the GOT to conduct a field reconnaissance trip assessing the potential pool of villages ahead of randomization. This trip built support for the evaluation within the DLO and GOT, as well as assessed potential implementation challenges.

To ensure implementation fidelity, the evaluation team and DAI are working together to randomize assignment to LTA over two phases, as Table 2 shows. This two-phase approach was developed in coordination with the implementer to assuage its concerns about villages potentially subdividing over time. By conducting random assignment in phases, DAI would not receive village assignments for implementation through 2019 since those assignments may change in a way that inhibits LTA's approach over that period. For example, if the evaluation team provided DAI with randomly selected treatment villages for implementation through 2019 and these villages then subdivide or fall into an intractable dispute with a nearby non-study village, limited options would remain for reassigning villages for treatment.

Phase I will last from May 2017 to May 2018 and Phase II from May 2018 to September 2019, when the activity ends. In each phase, 15 villages are randomly assigned to the LTA activity and 15 are randomly assigned as control villages, resulting in 30 villages per evaluation phase. The evaluation is conducting a household panel survey of a random sample of respondents in each village prior to each implementation phase, with a midterm survey also taking place for Phase I villages while the first Phase II villages are surveyed.

TABLE 2: PHASE-IN DESIGN OF THE LTA IMPACT EVALUATION

Implementation Year	Control	Treatment
2017-2018	15 randomly chosen villages do not receive LTA	15 randomly chosen villages receive LTA
2018-2019	15 randomly chosen villages do not receive LTA	15 randomly chosen villages receive LTA

Baseline data collection consisted of two household interview surveys of 1,179 respondents in 32 villages² in Iringa District:

- The "**Head of Household Survey**" was given to the identified head of household. This survey lasted around 75 minutes.
- The "Wives Survey" was given to the spouse/partner of the head of household. This survey lasted around 40 minutes.

Key Findings

Baseline findings in this report focus on the outcome variables for the treatment group that included 267 male heads of household, 122 female household heads, and 196 wives/female respondents.

Baseline Characteristics

Key household characteristics among the treatment group show overlap between respondent types.

- 84 percent (n=225) of male respondents in male-headed households have a primary education, compared to 58 percent (n=71) of female household heads.
- The age range of respondents overlapped, with wives slightly younger on average. Male heads of household were 47 years old on average, while female heads of household were 55 years old, and wives were 41 years old.
- Male-headed households had an average of 4.3 household members, while this number dropped to 3.3 for female-headed households.

Variables Associated with Outcomes

Tenure Security and Land Disputes

Most respondents viewed disputes as a small or non-existent problem in their village, and no evidence of village clustering among dispute prevelance or perception emerged. Of the 30 respondents who reported being involved in a dispute, 13 (43 percent) said they expected an increase in disputes. Eightynine percent of respondents do not perceive a risk that their land could be taken against their will in the next 5 years, but 11 percent do perceive such a risk, indicating a high level of insecurity for these respondents. The one exception is grazing disputes, which about a third of the treatment group perceived to be a big problem.

Land Holdings, Use, and Investment

Land holdings were one area of divergence between male- and female-headed households. However, the baseline survey relied on self-reported estimates, which are prone to inaccuracy, as the evaluation team learned during field reconnaissance.

² Two buffer villages were randomly assigned to treatment and control as part of Phase I data collection.

The average parcel size across all parcels (in acres) and the number of parcels for male and female head-of-household respondents differed greatly, with a large variance both across household types and within:

- Male-headed households reported an average parcel size of 7.7 acres, while female-headed households reported an average parcel size of 3.5 acres.
- 87.7 percent (n=107) of female household heads reported owning 2 parcels or fewer, while 72 percent of male-headed households (n=191) reported the same.

Few respondents in the treatment group reported making any investments in their land. The only area where respondents made parcel investments was in soil conservation, where 30 percent of male heads of household and 20 percent of female heads of household reported making these investments.

Social and Empowerment Outcomes

The evaluation team examined food security, self-efficacy, and decision-making as part of the social and empowerment outcomes for this evaluation. Key findings from baseline data collection included:

- Approximately a quarter of male-headed households (n=68) and two-fifths of female-headed households (n=48) in the treatment group reported food insecurity, defined as the household not having enough to eat at least once in the past 12 months.
- Female-headed households reported more frequently going without their preferred foods, with 17 percent (n=21) reporting that this has happened more than 10 times.
- To examine how households view themselves and their level of self-confidence, the evaluation team employed the Generalized Self-Efficacy Scale to assess how respondents view their capability to deal with certain life stressors. A higher score represents stronger self-efficacy. For the female heads of household who share a household with a male head of household, the average self-efficacy score was slightly higher, at 2.95, than the overall average score of 2.78.
- In general, the head of household, whether male or female, was the main decision-maker regarding parcel use; however, male heads of household who reported owning eight parcels jointly made decisions or outsourced decision-making to another household member.
- Around 60 percent of respondents to the "wives survey" reported attending a meeting in the previous year. Village meetings account for 65 percent (n=128) of the meetings attended by wives, while school meetings were the second most common meeting type, accounting for about 5 percent (n=9).

Conclusions

- Household characteristics: The baseline dataset includes 589 LTA beneficiary households. A
 substantial proportion of these (31 percent) are female-headed, with nearly all household heads
 reporting an education level of primary or less.
- **Tenure security and land disputes:** The data show substantial variability in perceived tenure insecurity, with many respondents expressing high confidence levels but some expressing major concerns
- Land holdings, use, and investment: Most households use multiple land parcels, with a wide variety in the size of the landholding. Soil conservation is the most common type of investment.
- Social and empowerment outcomes: Food insecurity is prevalent among the treatment households, with a quarter of male-headed households and two-fifths of female-headed households not having enough to eat at least once in the past 12 months. Decision-making power related to land tends to be concentrated in male household heads, while roughly 60 percent of women regularly attend village meetings and feel comfortable speaking in them.

- As expected given the randomized design, no major differences were observed between the treatment and control groups that would raise concerns for the IE.
- The IE is expected to have sufficient statistical power to accurately measure the impacts of LTA
 on a broad range of outcomes. However, the fact that implementation is limited to 30 villages
 may mean that the IE is not able to reliably detect impacts for a limited number of the
 anticipated outcomes, such as food security.

INTRODUCTION

This baseline report corresponds to the impact evaluation (IE) of the Feed the Future (FTF) Tanzania Land Tenure Assistance (LTA) activity that the Office of Land and Urban in the United States Agency for International Development's Bureau for Economic Growth, Education, and Environment (USAID/E3/LU) commissioned. The E3 Analytics and Evaluation Project designed and is implementing the evaluation.³ The evaluation incorporates a randomized controlled trial (RCT) design, widely considered to be the "gold standard" for IE methodology, to rigorously test how mobile mapping and facilitation of land tenure certification affect income, women's empowerment, dispute prevalence, and other factors related to land use and tenure security in Iringa District, Tanzania. Annex A provides USAID's statement of work (SOW) for the evaluation.

This document provides findings from baseline data collection for the IE, which show a snapshot of key demographics, household characteristics, and outcome variables. The document also investigates the balance between treatment and control groups and revisits the power calculations from the evaluation design proposal using parameters from the baseline dataset.

LTA ACTIVITY DESCRIPTION

Background

The Tanzanian land rights system is based on public ownership of land, with all land owned by the state and held in trust by the president. The majority of land in Tanzania is designated as Village Land, which is governed by the 1999 Village Land Act. The act recognizes the rights of villages to hold and govern land according to customary law. Individuals residing on or using Village Land have the right to obtain formal documentation of their rights with a Certificate of Customary Right of Occupancy (CCRO), which the local government can issue.⁴

In practice, most villagers do not have CCROs for their plots and lack formal documentation of their land rights (Pederson 2010). In many villages, the land use demarcation and mapping that are required to issue the documents have not yet been completed. Moreover, the district land offices (DLOs) responsible for issuing CCROs frequently lack the capacity to do so, and rural land users are often unaware of their land rights under the law.

Meanwhile, multiple factors contribute to increasing pressure on land, particularly in the Southern Agricultural Growth Corridor of Tanzania (SAGCOT) region. The confluence of climate change, population growth, and the regular migration of pastoralist communities to the region cause tensions over land and give rise to many types of disputes at various levels (Mwamfupe 2015). Large-scale agricultural investments are increasing in the area, leading to insecurity on the part of smallholders due to weak land rights protection and limited bargaining power (Deininger 2011). Recognition is increasing on the part of the Government of Tanzania (GOT) and the donor community that improving the security of land rights is essential to protect the rights of smallholders, reduce disputes and tensions, and maximize the economic potential of the region.

³ Management Systems International (MSI) implements the E3 Analytics and Evaluation Project in partnership with Development and Training Services, a Palladium company; and NORC at the University of Chicago.

⁴ For more on Tanzania's land ownership system, see USAID Country Profile, "Land Tenure and Property Rights: Tanzania," at https://www.land-links.org/wp-content/uploads/2016/09/USAID Land Tenure Tanzania Country Profile.pdf.

LTA Overview

The LTA activity, which is a part of the United States Government's Feed the Future (FTF) initiative, is implemented through a four-year, \$6 million contract awarded by USAID/Tanzania to DAI in December 2015. The LTA activity will clarify and document land ownership, support local land use planning efforts, and increase local understanding of land use and land rights in Tanzania. The interventions under the LTA activity aim to increase land tenure security and lay the groundwork for sustainable agricultural investment for both smallholder farmers and commercial investors throughout the SAGCOT and in the value chains of focus for Tanzania's FTF program.

The LTA activity comprises two larger activities (I and 2) and two smaller activities (3 and 4), described below. Local sustainability is a critical component of the overall activity. The goal of the LTA activity is to empower district and village land institutions in targeted districts to carry forward the capacity development and land administration process independently, and with little or no outside financial support, once the activity concludes. The LTA activity works within the current land management bureaucracy, but helps facilitate formal land certification and education through the following activities:

- Activity I: Assist villages and district administrations in completing the land use planning process and delivering CCROs in select villages within two districts (Iringa and Mbeya).
- Activity 2: Educate and develop the capacity of village land governance institutions and individual villagers to complete the land use planning and CCRO process; effectively manage land resources; respect the land rights of women, youth, and pastoralists; and build agriculturerelated business skills.
- Activity 3: Educate and develop the capacity of district-level land governance institutions in the Mbeya Region to complete the land use planning and CCRO process; effectively manage land resources; respect the land rights of women, youth, and pastoralists; and build agriculturerelated business skills.
- Activity 4: Develop capacity to use the Mobile Application to Secure Tenure (MAST) application throughout the SAGCOT and nationally to assist with tenure certification.

Development Hypothesis

USAID envisions that if the LTA activity provides clarification and documentation of land ownership, supports land use planning efforts, and increases local understanding of land use and land rights, then this will lead to increased agricultural investment, reduced land tenure risk, and more empowered people and local institutions. The LTA activity components work in tandem to promote inclusive agricultural development, food security and investment, and institutional capacity. Figure I in the Evaluation Questions section illustrates the causal linkages that USAID envisions for translating results under each of the activities into the LTA activity's intended intermediate and final outcomes.

Project Implementation Status

DAI started implementing LTA in late 2016 in six pilot villages in Iringa District that will not be included in the IE. Full-scale implementation in 15 Phase I villages began immediately following baseline data collection for the IE in April 2017 and will continue through the year. DAI has developed implementation protocols to ensure consistent deployment of the intervention throughout each village.

EVALUATION PURPOSE, AUDIENCES, AND USES

This IE comes at an opportune time, as USAID and the GOT are already investing elsewhere in land tenure programming while recognizing that additional research is needed to strengthen the evidence base for how land rights clarification and documentation affects investment, the incidence of disputes, women's empowerment, and tenure security. While USAID and implementers from international development organizations and non-governmental organizations (NGOs) have been exploring different approaches for documenting land ownership and sustainable land investment, few rigorous evaluations have measured the impact of more formal approaches and outcomes from customary tenure systems.

The LTA IE will make an important contribution to the evidence base on the efficacy of land tenure programming. Despite widespread recognition of the importance of strengthening land rights in rural contexts, rigorous evidence on land tenure interventions is lacking. A recent systematic review by Lawry et al. (2014)⁵ brings together the existing evidence on the efficacy of land rights interventions in terms of stimulating agricultural investment and productivity. Following an exhaustive search process, the review identifies only 20 papers that use rigorous quantitative methods to measure the impact of land tenure programs around the world, none of which used a RCT design. To date, the only published RCT study of a land tenure intervention is a mid-process working paper by Goldstein et al. (2015)⁶ that studies a Millennium Challenge Account program in Benin.

Purpose

The purpose of this IE is to provide USAID with evidence on the impacts of its investment in the LTA activity and to contribute to research on the impacts of land mapping, registration, and formalization in rural customary land tenure settings in Tanzania. The results of this evaluation will be made widely available to assess lessons learned and, as applicable, encourage replication within or beyond Tanzania. As such, this evaluation will apply USAID's *Evaluation Policy* guidance with respect to using the most rigorous evaluation design and methods possible to demonstrate accountability for achieving results. The evaluation is also designed to capture practical lessons from USAID's experience with regard to increasing sustainable agricultural investment by securing land tenure through first-time registration.

Audiences

The evaluation is aimed at several audiences. First, the findings are expected to be of value from an accountability and learning standpoint to USAID, specifically USAID/E3/LU and the USAID/E3 Office of Global Climate Change, as well as the Tanzania Mission. Findings and lessons learned from this evaluation will also be of interest to the GOT and donor community active in the sector, who aim to scale CCRO delivery rapidly across Tanzania, and to DAI and other practitioners in the land tenure sector working to document customary land rights. Finally, the evaluation will be of interest to donors such as those involved with the Land Tenure Support Program, a large-scale effort jointly funded by the United Kingdom's Department for International Development, the Swedish International Development Cooperation Agency, the Danish International Development Agency, as well as implementers and

⁵ Lawry, S., Samii, C., Hall, R., Leopold, A., Hornby, D., Mtero, F. "The impact of land property rights interventions on investment and agricultural productivity in developing countries: a systematic review." Campbell Systematic Reviews, 2014:1. 6 Goldstein, Markus, Kenneth Houngbedji, Florence Kondylis, Michael O'Sullivan and Harris Selod (2015). "Formalizing Land Rights in West Africa: Early Evidence from a Randomized Impact Evaluation in Benin" World Bank Policy Research Working Paper 7435.

scholars more generally by making an important contribution to the evidence base on land tenure interventions.

Intended Use

This evaluation will inform the design of future donor and government activities that aim to improve tenure security and generate economic benefits by strengthening land rights.

EVALUATION QUESTIONS

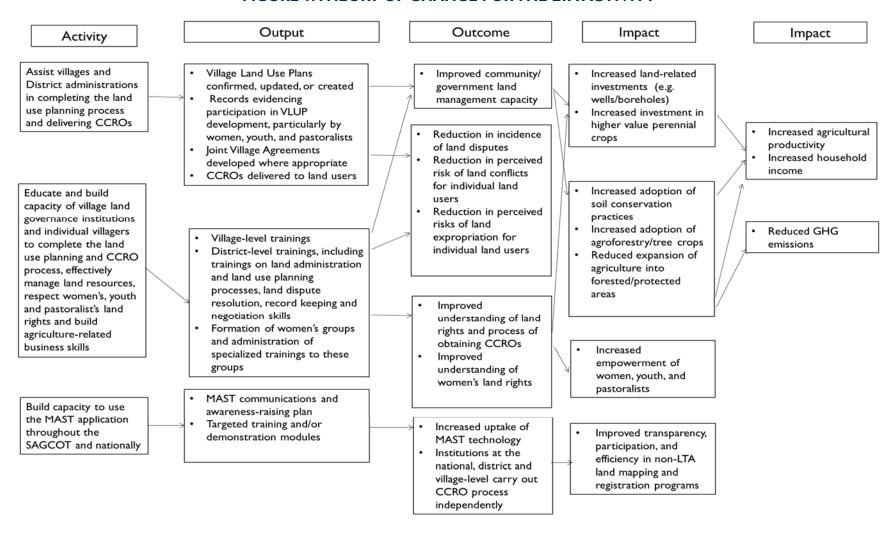
Theory of Change

Figure 1 illustrates the causal linkages that USAID envisions for translating results under each of the activities⁷ into LTA's intended intermediate and final outcomes. By contributing to the issuing of CCROs to land users, as well as education on the land laws and capacity-building components, the LTA activity will contribute to improved tenure security and reduced incidence of land disputes. These outcomes will, in turn, spur increased investment in agriculture as land users change their behavior in response to stronger incentives brought about by improved security. A greater sense of empowerment for women, youth, and pastoralists is expected to result for individual members of these groups who receive a CCRO. Empowerment should also result more broadly from LTA outreach and education on the land laws, which protect the rights of women, youth, and pastoralists. Developing the Village Land Use Plans (VLUPs), as well as some of the trainings for village and district officials, will improve the capacity of village and government institutions to manage land resources, including to identify and maintain protected areas, establish or strengthen the management of communal forest areas or woodlots, limit excessive expansion of areas under cultivation, and implement other environmental management practices or sustainable land uses within villages. Finally, activities under LTA to raise awareness about MAST and build capacity to use it within the GOT and donor community should result in greater uptake of the MAST technology in future land mapping and registration projects, leading to more transparent, participatory, and efficient processes to issue CCROs.

The IE is limited to measuring LTA's impacts on the direct beneficiaries of the activity through the issuing of CCROs and LTA's outreach and education component – i.e., the first two "activity" boxes in Figure 1. Assessing the extent to which other efforts to issue CCROs have taken up the MAST technology would require different data sources and methods, and would likely require a longer timeframe as well. Thus, the last benefit stream in Figure 1 will be beyond the scope of this IE.

⁷ Figure I shows only three activities, since Activity 3 is specific to Mbeya District and this IE focuses solely on LTA activities in Iringa District. This theory of change diagram has been updated since the SOW shown in Annex A, with USAID's approval.

FIGURE 1:THEORY OF CHANGE FOR THE LTA ACTIVITY



Evaluation Questions

The LTA IE addresses five questions derived from the theory of change, shown in Table 3. The evaluation team developed and finalized these questions in collaboration with USAID.8

TABLE 3: THEMATIC AREAS OF INVESTIGATION AND EVALUATION QUESTIONS

Thematic Area	Evaluation Questions
Tenure security and land management	I. In what ways and to what extent do landholders who have received formal land documentation through the assistance of LTA perceive their land rights to be more secure?
2. Land disputes	2. To what extent are landholders who have received formal land documentation through the assistance of LTA less likely to experience land disputes?2.1 What kinds of disputes (if any) are affected and what are the mechanisms by which LTA affects them?
3. Investment and land use	 To what extent do landholders who have received formal land documentation through the assistance of LTA change their investment and land use decisions in a manner that reflects strengthened incentives resulting from increased tenure security? What (if any) are the specific decisions that are affected and how does LTA influence them?
4. Empowerment	 4. To what extent do the LTA outreach and communication activities, as well as mapping, verification, and the formal registration of land, lead to a greater sense of empowerment on the part of women, youth, and pastoralists? 4.1 What (if any) are the specific aspects of empowerment that are affected and how does LTA influence them?
5. Economic and environmental outcomes ⁹	5. To what extent do the LTA interventions to strengthen land tenure lead to increased agricultural productivity, household income, and wealth, as well as more environmentally sustainable land-use practices and associated environmental benefits? 5.1 Which (if any) of these outcomes are affected and how does LTA influence them?

EVALUATION DESIGN AND METHODOLOGY

The goal of an IE is to generate objective, scientifically valid evidence of the *causal* impact of an intervention. The central methodological consideration for an IE is its approach to establishing causality. The challenge in this regard arises because for most interventions, the outcomes of interest are affected by a range of factors in addition to the intervention itself. For example, in the present context, one would expect beneficiaries of the LTA activity to experience increases in agricultural earnings as a result of their participation in the activity. However, changes in agricultural earnings are also affected by weather, prices, household labor availability, and other factors that are not related to the activity. Therefore, it is not sufficient for the evaluation to simply measure changes in outcomes for beneficiaries.

⁸ The evaluation questions outlined in this section have been revised since the SOW provided in Annex A was prepared, and these changes have been approved by USAID as part of the evaluation design proposal.

⁹ The economic and environmental outcomes covered in Evaluation Question 5 are expected to unfold over a longer period, and the full impact of LTA on these outcomes may not be observable over the timeframe of the evaluation. Thus, the endline analysis will provide a preliminary indication of these impacts, while a more comprehensive assessment would require an additional round of data collection. The evaluation team and USAID will explore the possibility of further data collection pending the endline findings.

The evaluation must also include an approach to identifying the extent to which observed changes are due to effects that the LTA activity, as opposed to other factors, induces over the evaluation timeframe.

To separate the impact of the intervention from the influence of other factors, IEs establish the causal impact of the intervention on an outcome for a beneficiary population by considering what would have happened to that beneficiary population over the same period of time in the absence of the intervention. To represent what would have happened, IEs use a control group to represent the counterfactual, i.e., the hypothetical outcomes for the beneficiaries in the absence of the activity. An important methodological consideration for IEs is the approach to selecting the control group.

The LTA IE uses a clustered RCT design. Prior to activity implementation in the areas of focus for the IE, a set of villages were randomly assigned to either a treatment group that will receive the LTA intervention, or a control group that will not participate in the activity. Randomized experimental designs such as this are widely considered to be the most methodologically rigorous IE approach, as they provide a more convincing demonstration of causality than alternative designs that require non-random approaches to select a comparison group. An RCT minimizes the potential for selection bias — which occurs when underlying differences between treatment and comparison groups lead to differences in outcomes — by assigning the intervention in a systematically random way.

Village Selection Process

The IE will measure LTA's impacts on activity beneficiaries in 30 randomly selected villages 10 in Iringa District. Implementation in these 30 villages will occur in two phases: an initial set of 15 randomly chosen villages beginning in 2017, then a second set of 15 randomly chosen villages beginning in mid-2018. Ideally, all 30 villages would be selected at the outset with a single baseline collected prior to implementation. However, in response to concerns raised by DAI, selection of the villages will take place in two stages prior to the beginning of the two phases of implementation. These concerns stem from the fact that the context of the LTA activity may change over time as village administrative and geographic boundaries shift, an increasingly common occurrence as a village's population grows. Village subdivision or boundary changes present implementation challenges since the LTA activity relies on specific satellite imagery and has limited resources to work through VLUPs, sensitization, and other activities without repeating processes for newly created villages. These challenges could also affect the evaluation team's estimation strategy if changes occur in the local context, since any adjustments will require adding some kind of control or weights, and likely reduce analytical precision. Therefore, a list of potential LTA activity villages developed in 2016 may not be appropriate later, as a village on the list may merge with another, or may split into two villages. Criteria that once made a village suitable for the LTA activity in 2016 thus may no longer apply in later years.

The approach to village selection has been discussed in detail and agreed upon between DAI, USAID, the GOT, and the evaluation team. As a first step in this process, the Iringa DLO prepared a master list of 75 villages suggested for potential LTA activity implementation according to its own priorities. From this list, the evaluation team randomly selected 37 candidate villages to allow for 15 Phase I treatment

¹⁰ The number of villages in the study is determined by the size of the activity. LTA is also being implemented in a preliminary set of non-randomly selected villages in Iringa beginning in 2016, and is also anticipated to be implemented in a set of five test villages in Mbeya. These villages are not included in the IE and were not selected from the list of potential IE villages. The selected 30 villages were chosen randomly after accounting for key factors such as whether the village planned on subdividing, accessibility during the rainy season, and the presence of villagers capable of running the MAST application.

villages, 15 Phase I control villages, and up to 7 villages to be eliminated for implementation reasons prior to randomized assignment.

After identifying potential villages, it was necessary to assess the suitability of these villages for LTA implementation. Villages may not be appropriate for implementation for a variety of reasons, such as the presence of other certification outreach programs, inaccessibility, or impending village subdivision. To address these issues, the evaluation team, DAI, and the Iringa DLO collaborated on a process of field reconnaissance in September 2016 to gather information to assess the suitability of each of the 37 candidate villages for implementation. From the remaining Phase I candidate villages, the evaluation team randomly assigned 15 villages to the Phase I treatment, and 15 to the Phase I control group. Two of the remaining villages were designated as "reserve" villages and candidates for implementation if for some reason implementation cannot take place in the originally designated treatment villages.

Phase II villages will be selected prior to spring 2018 using a similar process. The Phase I treatment, control, and reserve villages, as well as any villages that were unsuitable for implementation, will be removed from the original "master list" of 75 villages compiled by the DLO. The remaining villages will then be reviewed in coordination with the GOT and DAI to determine whether any should be removed from consideration due to circumstances such as changing administrative boundaries, new land tenure programs, or other concerns. To the greatest extent possible, the evaluation team will seek to adhere to the original list and remove villages only when necessary.

For the remaining villages on the DLO list, the evaluation team and DAI will repeat the field reconnaissance process to assess suitability for implementation. As in Phase 1, DAI will determine which villages may need to be excluded, and the evaluation team will then randomly assign 15 to treatment, 15 to control, and up to 5 remaining villages as reserve. Table 4 summarizes this process.

TABLE 4: PHASE-IN DESIGN OF THE LTA IMPACT EVALUATION

Approach to Randomization

Rather than a simple random assignment, the approach to randomizing villages into treatment and control groups included stratification based on the following criteria, to improve the comparability of the treatment and control groups:

- I. Constituency
- 2. Ward (location)
- 3. VLUP status
- 4. Average number of household parcels
- 5. CCROs reported
- 6. Crops grown
- 7. Reported NGO presence
- 8. Reported disputes prevalence

II To improve balance, the initial 37 villages were selected by stratifying by constituency and blocking on whether the village had a VLUP, geographic location (constituency and ward), and the number of parcels in the village.

Villages were ordered by similarity based on each of these strata, in the order of priority shown above. For example, similar constituencies were grouped together and then grouped by ward, VLUP status, etc., to minimize the distance between each of these factors. Based on this stratification, villages were grouped into pairs and then randomly assigned to treatment or control groups within each pair.

The stratification criteria were chosen based on available data, as well as what criteria influence implementation. For example, stratifying by VLUP status means that villages in the initial pool that have completed VLUPs are equally divided between treatment and control groups, making the groups more comparable. During field reconnaissance, the evaluation team found many NGOs operating in Iringa District. Several of these groups, such as One Acre Fund, provide loans for farm inputs. Stratifying based on the presence of these programs allows the evaluation team to obtain a more balanced sample of treatment and control villages. The goal of this approach is to make sure that any changes in outcomes due to the number of household parcels or activities from outside groups are averaged out across treatment and control when adding more villages to the sample is not an option.

BASELINE DATA COLLECTION

Baseline data collection for the IE provides a snapshot of the key outcome measures and relevant covariates between treatment and control groups prior to the start of LTA activity implementation. The endline analysis can account for any baseline differences across the groups. In addition to the outcomes of interest, the evaluation team examined basic demographic metrics.

Baseline Sampling Methodology

The evaluation team conducted baseline data collection in April and May 2017. MSI subcontracted with Research Solutions Africa (RSA), a Kenyan survey research firm with an office in Dar es Salaam, to conduct the baseline survey. The RSA survey team included 24 enumerators, 6 team leaders, and an overall survey supervisor working with a local coordinator from MSI. The sampling frame consisted of households within all 32 villages in the 17 wards across Iringa District. The MSI team did not tell enumerators, field supervisors, or associated staff which villages were assigned to receive LTA interventions and which would serve as control villages. Figure 2 shows the number of surveys administered in each village.

Prior to the start of data collection, MSI's evaluation coordinator and local coordination, along with RSA's field supervisor and five enumerators, implemented a pretest for the baseline survey in Mayunga, Chamdindi, and Nyakavangala villages in Iringa District. The goal of the pretest was to refine the relevance, sequencing, and wording of survey questions, as well as ensure that the mobile platform could accommodate skip patterns and logic checks in the survey. The pretest villages were purposively selected based on their omission from the evaluation field reconnaissance process in 2016. The MSI coordinators and the RSA field supervisor met with the head land officer at the Iringa DLO to explain the baseline process and build support for the overall evaluation. DLO personnel were helpful in obtaining village leader contact information during the pretesting process.

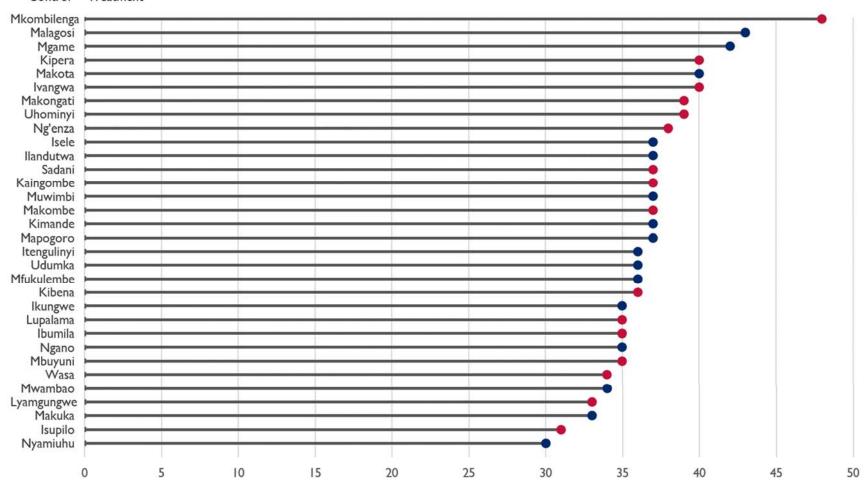
In each pretest village, the survey team identified target households using a systematic random sampling approach, with the applicable skipping interval per village ranging from 2 to 4. In each identified household, the team interviewed the male and female household heads, as appropriate and simultaneously, if possible. The pretest team completed 58 interviews and went through 8 iterations of the survey instrument and daily updates to the mobile platform, Dooblo Survey to Go. 12 RSA scripted

¹² See <u>www.dooblo.net</u>.

English and Swahili versions of the questionnaire using the mobile platform to ensure translation accuracy and track changes to the software.
,

FIGURE 2: 1,179 SURVEY RESPONDENTS IN 32 VILLAGES IN IRINGA DISTRICT





Following the pretest, RSA's field coordinator led enumerator training in Iringa, with the MSI coordinators providing oversight. Over five days (including three plenary training days, one piloting day, and one piloting debriefing day), RSA's project manager, field manager, and 30 enumerators received training on best practices for interviewing, the ethics of research, electronic data collection devices, the household survey instrument, and the "wives survey" instrument. Both survey instruments were practiced in Swahili. The training contained lectures, roleplays, and group exercises and provided three days for enumerators to practice the survey in small groups, share their questions and advice, and practice using the mobile platform. The piloting exercise was implemented in two villages in Iringa District, Tana Ngozi and Tosa Maganga, where 41 interviews were completed. The pilot debrief consisted of a review of the participants' observations, experiences, challenges, comments, and recommendations, which informed additional improvements in the survey script.

The MSI evaluation coordinator worked with the RSA survey team through the pretesting and training period, with the MSI local coordinator staying on through the first week of data collection. Baseline data collection activities took place from April 19 to April 30, 2017. In addition to the MSI local coordinator and RSA field supervisor, each group of four enumerators was led by an enumerator team leader, who was responsible for team oversight, communicating with village leaders, and conducting sit-in checks, call-backs, and back checks to ensure that enumerators were properly conducting the survey. The field supervisor managed enumerator assignments, held daily check-ins with enumerator team leaders, and undertook random data quality checks. The use of electronic data collection allowed RSA to submit raw data daily to the MSI evaluation coordinator, as an additional level of oversight. The evaluation coordinator checked variation in duration, assessed the distribution of interview types by team and enumerator, and assessed missing and "don't know" responses to ensure survey implementation fidelity.

Each participant provided informed consent after reading a statement about the purpose of the evaluation and the content of the survey. The survey team assured participants that their involvement was voluntary and could be withdrawn at any point. Enumerators assured respondents that their answers would be kept confidential and all data would be anonymized prior to any publication or use.

Consent was provided verbally before the start of the survey. The survey team made follow-up visits to households in the following situations:

- When there was no one in the household at the time of initial (first and second) visits.
- When there were no adult household members/target respondents at the time of the visit.
- When the target respondent(s) were busy at the time of the initial visit, and requested that the enumerators come back at a later time.
- When the enumerators were not able to complete either one or all of the household interviews during their previous visit, but it was still possible for them to return at a later time.

Household Sample Selection

Six field teams, each consisting of four enumerators and a field supervisor, conducted the household surveys. When possible, enumerators worked in pairs, with one enumerator interviewing the male head of household and another the female head of household. When both male and female respondents were available, enumerators sought to interview female respondents outside of earshot of male respondents, such as inside the home while the husband was interviewed outside of the home. However, in many cases only one member of the household was home due to planting taking place during the data collection period, which coincided with a period of heavy rains. In those cases, the team surveyed only one household member.

The survey team used systematic random selection to find respondents. After arriving in a village, the team followed these steps:

- I. Met with the village leader, usually the village chairman. With guidance from the village leader, the teams would split up, each taking a direction and starting a random walk from an appropriate point (e.g., from the nearest intersection in the village or at the village meeting place).
- 2. Each enumerator pair applied a skipping interval based on the percentage of target households for the village to the total village population, with a minimum skipping interval of 10. Once a team reached a target household, it would then walk to, at a minimum, the 10th household after the one it just visited.
- 3. Informed consent was required for all household interviews. If a respondent refused to be interviewed or decided that they did not want to continue midway through the interview, the enumerator would then move on to the next household based on the skipping interval.

Survey Instrument

Baseline data collection consisted of two main household interview surveys:

- The "Head of Household Survey" was given to the individual who identified as the head of household when the enumerator presented themselves at the house for data collection. This survey lasted around 75 minutes.
- 2. The "Wives Survey" was given to the spouse/partner of the head of household. This survey lasted around 40 minutes.

The survey team collected data via mobile devices. Both surveys included questions on disputes, self-efficacy, loans, decision-making, and familiarity with the land laws. The "head of household survey" also included a sketch map portion to use as a reference for follow-up interviews. The "wives survey" included a time-use component that asked respondents to describe their activities for the previous 24 hours. All surveys were geo-coded for additional quality assurance and to facilitate follow-up data collection rounds. Annex B provides the survey questionnaire that the evaluation team developed, and Table 5 shows the questionnaire's 13 modules. Most questions are based on validated questions from the Tanzanian National Panel Survey questionnaires.

TABLE 5: SURVEY QUESTIONNAIRE MODULES

	Modules	Indicators
I.	Household Roster and Information	 Age, schooling, marital status Household size, number of adults and children Economic activity
II.	Agricultural Organization, Services, Training	Farmer cooperative involvementNGO activity involvement
III.	Land Holdings and Characteristics	 Parcels owned and rented, parcel size, documentation status Parcel acquisition method, inheritance, planning Topography and physical characteristics of parcels Irrigation, fallowing, and parcel improvements
IV.	Agricultural Production— Annual Crops	 Parcels cultivated, crops grown by parcel, tools used Seeds planted, amount paid for seeds Use of inputs (e.g., fertilizer), cost of inputs, use of hired labor Amount harvested, quantity sold, income from sales
V.	Agricultural Production— Perennial Crops	 Parcels cultivated, crops grown by parcel Use of intercropping Trees planted, planned use for trees Amount harvested, quantity sold, income from sales
VI.	Perception of Land Rights	 Expropriation Land tenure security Knowledge of land laws, LTA, and CCROS
VII.	Land Disputes	Dispute incidenceNature of disputesDispute resolution
VIII.	Non-Agricultural Income, Consumption, and Assets	 Asset inventory Livestock inventory Household construction materials Formal, non-farm employment
IX.	Household Savings, Borrowing, and Shocks	Borrowing amount and lender Household shocks
X.	Food Security	Incidence of food insecurity in the past 12 months
XI.	Self-Efficacy	Ability to make decisions, confidence, problem solving
XII.	"Wives Survey"	 Demographic information, education level Expropriation in the event of husband's death Income activities, decision-making, disputes Borrowing Self-efficacy Familiarity with land laws, LTA, and CCROs Time allocation
XIII.	Sketch Map	Respondent-drawn map showing parcels, terrain, and crop allocation

Figure 3 shows the final sample sizes that resulted from the sampling process. The survey response rate was high, at 98.5 percent. The remoteness of the study area villages and the fact that many household members were unavailable at certain parts of the day due to farming activities meant that enumerators often made follow-up visits to the selected households. The evaluation team set out to interview both male and female representatives in each household, but this was often not possible given availability during the survey period, which coincided with the rainy season and increased farming activity.

201 196 152 133 Control Treatment

FIGURE 3: SURVEY RESPONDENTS BY ASSIGNMENT AND RESPONDENT TYPE

Challenges Encountered During Data Collection

Wives/Partners

In general, baseline data collection occurred with limited interruption. While RSA faced several scripting issues during the pretest period and there were several scripting anomalies that took a few days to resolve, these issues were resolved before data collection started. Each survey was geotagged to allow additional data quality oversight and help with respondent tracking for the next phase of data collection.

Household Head (Female)

Male Household Head

The biggest challenge that the evaluation team faced in the baseline survey was related to the timing of the survey, as it was undertaken during one of the main rainy periods in Iringa District. This affected the pace at which the survey could proceed due to poor road conditions and the availability of respondents, since many were farming on their parcels while the ground was wet. During pretesting and baseline data collection, the team experienced mechanical problems, largely in the form of flat tires, but in one case an enumerator team had to be picked up after its van broke down on a remote road. While these challenges resulted in delays in the timing, none raise concerns about the quality of the data.

BASELINE FINDINGS

This section presents baseline findings on key demographics, household characteristics, and outcome variables for the treatment group that will receive the LTA interventions. The findings provide a snapshot of the characteristics, conditions, and outcomes that the IE will measure in the study area. The Balance and Power section includes a comparison of the treatment and control groups.

Household Characteristics

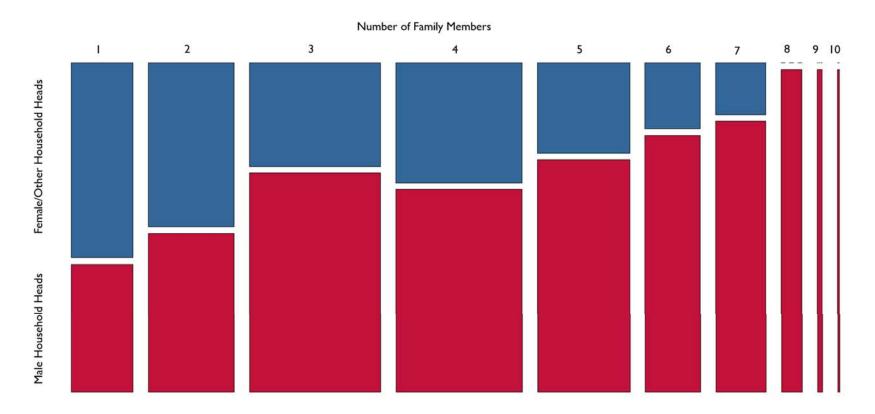
Table 6 shows general characteristics of treatment households by respondent type. There are 267 male heads of household, 122 female/other household heads, and 196 wives/female respondents. In general, key characteristics among treatment group households overlap between respondent types. Overall, 84 percent of male respondents in male-headed hosueholds have a primary education, compared to 58 percent of female household heads. Two outliers include one male household head reporting having a university-level education, and one respondent in the wives group reporting having reached a diploma level. Overall, the age range of respondents overlapped, with wives slightly younger on average.

TABLE 6: BASIC HOUSEHOLD CHARACTERISTICS BY RESPONDENT TYPE

Variable	Male Household Heads					Female/Other Household Heads						Wives/Female Respondents					
	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max		
Age	267	47.00	15.54	20	93	122	54.62	14.04	20	92	196	40.73	13.13	18	84		
Cooperative membership $(I = Y, 0 = N)$	267	0.20	0.40	0	I	122	0.11	0.32	0	I							
Education Level*	267	2.00	0.46	I	5	122	1.60	0.51	I	3	196	1.85	0.52	I	4		
Number of HH Members	267	4.28	1.97	ı	10	122	3.31	1.61	I	7							

^{*}Indicator variable where I = never/not currently schooling, 2 = primary, 3 = form, 4 = diploma, 5 = university

FIGURE 4: NUMBER OF FAMILY MEMBERS BY GENDER OF HOUSEHOLD HEAD



The cross-tabulation mosaic graph in Figure 4 shows the relationship between the number of family members and the household head gender; the wider bars represent a higher frequency within each category. Female-headed households reported smaller household sizes, while male-headed households reported having more family members, with 111 of 256 respondents reporting 5 or more children.

Tenure Security and Land Disputes

Table 7 presents baseline data related to land rights and tenure security. The data on these outcome variables are mixed, with some respondents expressing confidence in their rights and others raising concerns. Eighty-nine percent of respondents do not percieve a risk that their land could be taken from them against their will in the next 5 years, but 11 percent do perceive such a risk, indicating a high level of

insecurity for these respondents. When asked about the degree of insecurity within their communities more broadly, male and female respondents answered similarly, with 16.1 percent of male respondents (n=43) and 17.2 percent of female respondents (n=21) indicating that worries about expropriation in their community were common.

TABLE 7: LAND RIGHTS AND TENURE SECURITY VARIABLES

V ariable		M	1ale Respond	lents		Female Respondents						
▼ ariable	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max		
Is there community perception of expropriation risk (I=Y, 0=N)	267	0.16	0.37	0	I	122	0.17	0.38	0	I		
Compared to one year ago, do you think the possibility that someone could try to take one of your parcels has increased? (I=Y, 0=N)	267	0.08	0.27	0	I	122	0.05	0.22	0	I		
Do you have familiarity with land laws (I=Y, 0=N)	267	0.05	0.22	0	I	122	0	0	0	0		
Expropriation possible in the next five years (I=Y, 0=N)	259	0.09	0.29	0	I	118	0.1	0.3	0	I		
Heard of CCROs (I=Y, 0=N)	267	0.63	0.48	0	I	122	0.42	0.5	0	I		
Is there a risk that someone will take over one of your plots if you leave it fallow? (I=Y, 0=N)	267	0.48	0.5	0	I	122	0.34	0.48	0	I		
Possess land-related documentation (I=Y, 0=N)	265	1.81	0.39	0	I	121	1.93	0.25	0	I		
Willingness to pay for a CCRO (in TZS)	167	\$35,682.63	\$47,058.81	\$ -	\$ 300,000.00	51	\$18,392.16	\$22,750.01	\$ -	\$100,000.00		

The proportion of respondents worried about expropriation in the next five years was similar across genders. Ten percent of female respondents (n=12) and 9 percent of male respondents (n=24) answered "yes" about expropriation being possible in the next 5 years. Eighteen female respondents who self-reported as the head of the household (and were respondents to the head of household survey) also shared a household with a male head of household respondent. When accounting for household respondents across genders (i.e., when both male and female respondents reported being head of the household and both responded to the "head of household" survey), male head-of-household respondents reported being more concerned about expropriation in the next five years (n=21) compared to the female head-of-household respondents who share a household with another head-of-household respondent (n=1).

About 42 percent (n=51) of female heads of household and 63 percent (n=167) of male respondents had heard of CCROs. Among this group, a large variation occurred in willingness to pay for CCROs, with the median male respondents' willingness to pay in shillings reported as about twice as much as female respondents'. The wide distribution of responses may say more about a general uncertainty about how to value the CCROs than about one group viewing them as more worthwhile compared to another group.

Compared with female respondents, male respondents were more worried about expropriation and land grabbing compared to a year ago. Similarly, a majority (57 percent, n=70) of female head-of-household respondents reported feeling "no risk" regarding someone taking over their plots if they fallowed, while 46 percent of male heads of household (n = 122) felt no risk in fallowing. Of the 267 male respondents in the treatment sample, 78 (around 30 percent) felt they would be at high risk of land grabbing if they left their plots fallow. As shown in Figure 5, Malagosi had 13 respondents who felt a "very high" risk of someone taking their land if they left it fallow. This is notable considering only 19 male respondents were interviewed in Malagosi. This village is worth highlighting largely due to the high prevelance of respondents who felt at risk.

FIGURE 5: PERCEIVED RISK OF LAND GRABBING WHILE FALLOWING FOR MALAGOSI, BY GENDER

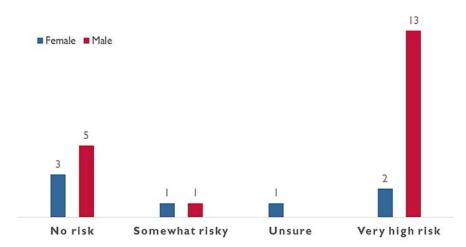


Table 8 presents baseline data on outcome variables related to land disputes. Only 30 respondents in the treatment group reported being in a dispute in the past 12 months, with an even split between male and female respondents. Perhaps unsurprisingly, those respondents who reported involvement in a dispute in the past year were more likely to report that they expected an increase in disputes in the next 12 months. Of the 30 respondents who reported being involved in a dispute, 13 (43 percent) said they expected an increase in disputes; 7 of these respondents were male heads of household and 6 were female heads of household. Around half (n=14) of these disputes related to "Land that the household owned or was using," such as someone in the area trying to take a household's land (n=9), someone from outside the area trying to take a household's land (n=2), boundary disputes (n=2), and in one case, the government trying to take a household's land; 20 percent (n=6) of reported disputed were related to inheritance. The other 30 percent of disputes that treatment respondents discussed were related to land rental and disputes around land acquisition, such as trying to buy land claimed by renters or other people in the village.

TABLE 8: LAND DISPUTES SUMMARY STATISTICS

Variable -		Male I	Respoi	ndents		Female Respondents					
		Mean	SD	Min	Max	n	Mean	SD	Min	Max	
Number of disputes in the past 12 months	15	ı	0	ı	ı	15	1.07	0.26	I	2	
Border dispute risk in the next five years (I=Y, 0=N)	267	0.14	0.35	0	I	122	0.11	0.31	0	I	
Expect an increase, decrease, or no change in the upcoming 12 months	267	2.24	0.74	I	3	122	2.40	0.69	I	3	
Increase, decrease or no change in disputes over the past 12 months	267	2.30	0.75	I	3	122	2.45	0.72	I	3	
Increase, decrease, or no change in risk of boundary dispute compared to a year ago	267	2.56	0.62	I	3	122	2.53	0.62	I	3	

As Table 9 shows, the actual incidence of disputes reported by village was low in the sample, with only 30 reported in the past 12 months. The evaluation team investigated whether there was a cluserting effect for disputes.

TABLE 9: DISPUTES BY VILLAGE

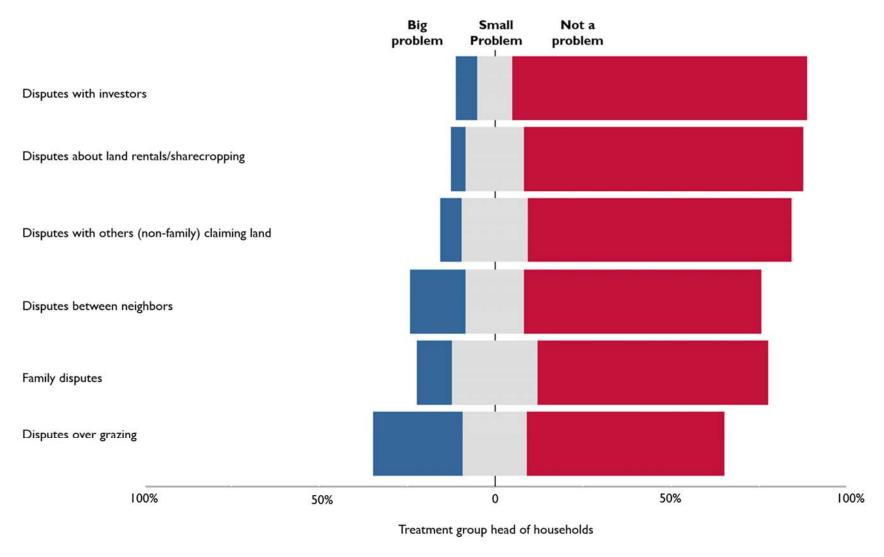
Village	Number of Disputes
Isele	4
Itengulinyi	4
Kimande	3
Makota	3
Makuka	2
Malagosi	4
Mapogoro	I
Mgame	I
Ngano	2
Nyamiuhu	2
Udumka	4

Figure 6 shows the geographic distribution of these villages. The evaluation team also investigated whether any clustering occurred within villages, such as disputes concentrated among respondents. However, the analysis did not reveal concentration across or within villages.

FIGURE 6: GEOGRAPHIC DISTRIBUTION OF DISPUTES



FIGURE 7: HEAD-OF-HOUSEHOLD PERSPECTIVE ON DISPUTES OVER THE PAST FIVE YEARS



Treatment group respondents reported fairly low severity of differenty types of disputes. As Figure 7 shows, most treatment group respondents characterized various types of land disputes as either "not a problem" or "a small problem." Slightly more respondents (25.4 percent, n=99) among both male and female household heads reported disputes over grazing as a big problem.

Land Holdings, Investment, and Environment

The previously described similarities between male- and female-headed households is less prevalent in the baseline treatment group on metrics related to the number and size of parcels. Male heads of household reported having more parcels, as well as larger parcels. Figure 8 shows the average parcel size across all parcels (in acres) for male and female head-of-household respondents. As shown by the 95 percent confidence interval lines on each bar, variance in parcel size is much larger for households headed by a man. During field reconnaissance, the evaluation team learned through interviews with villagers, the DLO, and discussions with village leaders that parcel size figures are subject to high levels of inaccuracy.

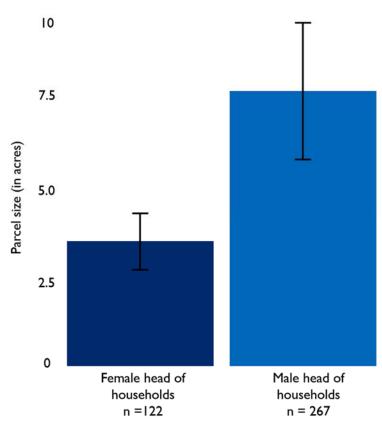


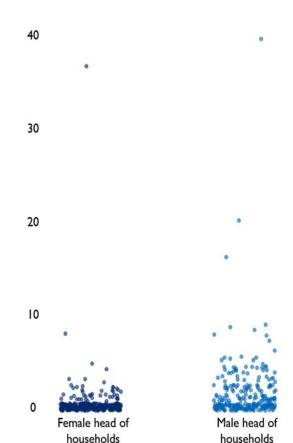
FIGURE 8: PARCEL SIZE BY GENDER

The general distribution of parcels by respondent type differs as well, with 87.7 percent (n=107) of female household heads reporting two or fewer parcels, while 72 percent of male-headed households (n=191) report the same. As Table 10 shows, the maximum number of parcels reported by a male head of household is 8 (n=1) and by a female head of household is 5 (n=1).

Baseline data on environment-related investments in parcels suggest that treatment respondents have made investments in their parcels through soil irrigation, conservation. terracing, upgrades to fencing and buildings. Table 10 shows the responses for each parcel reported by male- and female-headed households. Many of the envionrment-related outcomes, such as tree planting, had few observations. For example, Figure 9 shows the responses for the total number of fruit trees planted in the past year. Most respondents are not planting trees of any kind (the average is less than one tree for all parcels), but, as the graph shows, there are a few outliers. Many of the envionrmental aspects of the evaluation are less proximate in the theory of change to activity implementation.

For the male heads of household in the treatment group, the most common improvement was soil conservation. In the treatment sample, 33 percent (n=88) of male heads of household reported making soil improvements in the past year. Female heads of household reported similar soil conservation improvements, with around 25 percent (n=30) making soil improvements to at least one of their plots in the past year.

FIGURE 9: NUMBER OF FRUIT TREES PLANTED IN THE PASTYEAR, BY GENDER



n = 122

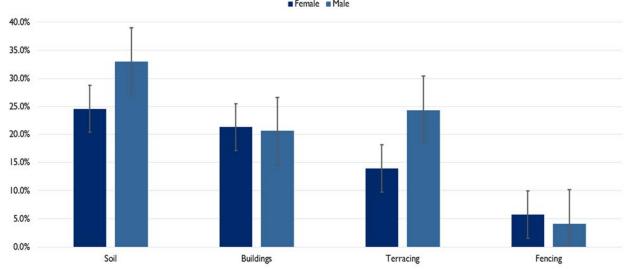
The low numbers on fencing are not surprising given cultural norms. The labor- and capital-intensive terracing and building construction questions also suggest that given other constraints, households have not made these investments in the past year.

TABLE 10: LAND ENVIRONMENT, USE, AND INVESTMENT SUMMARY STATISTICS

Variable		Male	Respon	dents	Female Respondents						
Variable	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max	
Number of parcels owned or rented	267	2.13	1.15	I	8	122	1.67	0.81	I	5	
Parcel size (in acres for all parcels owned)	267	7.70	15.80	0.0	214	122	3.49	4.43	0.2	35	
Household invested in: (I=Y, 0=N):	Household invested in: (I=Y, 0=N):										
Buildings	267	0.21	0.41	0	I	122	0.21	0.41	0	I	
Fencing	267	0.04	0.2	0	I	122	0.06	0.23	0	I	
Soil Conservation	267	0.33	0.47	0	I	122	0.25	0.43	0	I	
Terracing	267	0.24	0.43	0	I	122	0.14	0.35	0	I	
• Fallowed (I=Y, 0=N)	267	0.36	0.48	0	I	122	0.3	0.46	0	I	
• Fruit trees planted (I=Y, 0=N)	267	0.10	0.30	0	I	122	0.03	0.18	0	I	
• Non-fruit trees planted (I=Y, 0=N)	267	0.26	0.44	0	I	122	0.19	0.39	0	I	

n = 267

FIGURE 10: PERCENTAGE OF RESPONDENTS REPORTING INVESTMENTS, BY GENDER



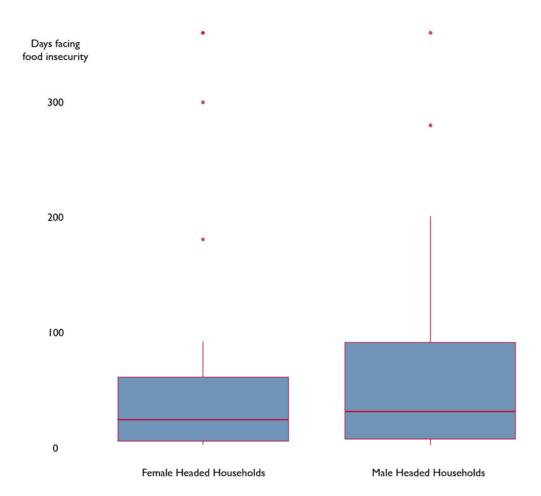
The fallowing and tree planting patterns between male and female head-of-household respondents provide further insights about the treatment group. Almost 30 percent (n=36) of female heads of household reported fallowing at least one of their parcels, while 36 percent (n=97) of male heads of household reported fallowing one or more parcels. Around 10 percent (n=27) of male respondents reported having perennial fruit trees and 26 percent (n=70) reporting planting non-fruit trees. Only 4 female heads of household said they had fruit trees on their parcels, while 23 (18 percent) reported growing non-fruit trees. The difference between male and female household head fruit tree planting may be related to the number of parcels reported. Male households that reported growing fruit trees had an average of 4.6 parcels, compared to 2 parcels for those who said that they did not grow fruit trees. Three female heads of household who reported having four parcels and one female head of household reporting five parcels also said they had fruit trees. Figure 10 shows the percentage of respondents reporting different investments, including environmental improvements such as soil conservation, as well as the standard deviation of these observations. As noted, these types of outcomes are less proximate to the LTA activity.

Social and Empowerment Outcomes

Food Security

The baseline survey examined economic and environmental outcomes of interest through questions related to food security, household and parcel improvements, and assets. Approximately one-quarter of male-headed households (n=68) and two-fifths of female-headed households (n=48) in the treatment group reported food insecurity, as defined by the household not having enough to eat at least once in the past 12 months. As Figure 11 shows, among households that reported facing food insecurity, male-headed households faced this situation for longer, on average, than female-headed households. This finding holds if outliers shown on the boxplot graph are dropped. The distribution of days facing food insecurity is somewhat even across villages, except for Makota and Ngano, which each have three households in the 90th quantile or above for days without food (both Makota and Ngano have two male-headed households and one female-headed household in this category; these respondents are from separate households).

FIGURE 11: FOOD INSECURE DAYS BY HOUSEHOLD HEAD GENDER



As Table II shows, almost all respondents reported no or rare food insecurity due to lack of resources, no or rare instances of going to sleep hungry, no or rare instances of lacking their preferred foods, and little worry about food insecurity in general over the previous I2 months. Female-headed households did report more frequently going without their preferred foods, with I7.2 percent (n=21) reporting that this has happened often (more than I0 times).

TABLE 11: FOOD SECURITY SUMMARY STATISTICS

Variable		Male	Respon	dents			Female	Respo	ndent	S
▼ ariable	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max
How often have you not had food in the house due to lack of resources to get food?	267	1.40	0.83	I	4	122	1.70	0.89	I	4
How often have you or any household member gone to sleep hungry because there was not enough food?	267	1.27	0.65	I	4	122	1.52	0.78	I	4
How often have you you or any other household member had to eat fewer meals in a day because there was not enough food?	267	1.56	0.92	I	4	122	1.89	0.99	I	4
How often have you or someone in your household been unable able to eat the kinds of foods you would have preferred to eat because of lack of resources?	267	1.69	1.02	I	4	122	2.11	1.07	I	4
How often have you worried that your household would not have enough food?	267	1.60	0.91	I	4	122	1.93	0.97	ı	4
Have you been faced with a situation when you did not have enough food to feed the household? (I=Y, 0=N)	267	0.25	0.44	0	I	122	0.39	0.49	0	I
For how long did you face this situation? (in days)	68	62.82	74.64	I	360	48	50.83	84.19	I	360

^{*}I= Never, 2 = Rarely (once or twice), 3 = Sometimes (3 to 10 times), 4 = Often (more than 10 times)

Empowerment

Land tenure in Tanzania exists as more than just an economic asset. It holds cultural value and has deep ties to the way people view themselves and their communities. To gain a snapshot of how sampled households view themselves and their level of self-confidence, the evaluation team employed the Generalized Self-Efficacy Scale (GSES).¹³ The GSES has been deployed in 25 countries and multiple contexts to assess how respondents view their "capability to deal with certain life stressors." All respondent types (i.e., male heads of household, female/other heads of household, and wives) were asked the 10 self-efficacy questions from GSES. In general, higher scores suggest stronger self-efficacy. As shown in Table 12, male-headed households have the highest level of self-reported self-efficacy.

¹³ Scholz, Urte, Benicio Gutierrez Dona, Shonali Sud, and Ralf Schwarzer (2002) "Is General Self-Efficacy a Universal Construct?" European Journal of Psychological Assessment 18 (2).

TABLE 12:TREATMENT GROUP SELF-EFFICACY BY RESPONDENT TYPE

		Male F	Respon	dents			Femal	e Head	of HH		Wife Respondents						
▼ ariable	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max		
Self-Efficacy: I = not at all true, 2 = hardly true, 3	Self-Efficacy: I = not at all true, 2 = hardly true, 3 = moderately true, 4 = exactly true																
I am certain I can accomplish my goals	267	3.17	0.84	I	4	122	2.75	0.92	I	4	196	3.14	0.88	I	4		
I am confident that I could deal effectively with unexpected events	267	2.91	0.94	I	4	122	2.55	0.92	I	4	196	2.64	0.94	I	4		
I can always manage to solve my problems if I try hard enough	267	3.17	0.85	I	4	122	2.78	0.85	I	4	196	2.82	0.89	I	4		
I can remain calm when facing difficulties because I can rely on my strength to cope	267	3.07	0.8	I	4	122	2.75	0.85	I	4	196	2.92	0.91	I	4		
I can solve most problems if I invest the necessary effort	267	3.15	0.81	I	4	122	2.73	0.92	I	4	196	2.96	0.85	I	4		
If I am in trouble, I can think of a good solution	267	3.47	0.63	I	4	122	3.16	0.76	I	4	196	3.28	0.69	I	4		
If someone opposes me, I can find the means and ways to get what I want	267	3.16	0.8	I	4	122	2.84	0.79	I	4	196	2.88	0.84	I	4		
Thanks to my resourcefulness, I can handle unforeseen situations	267	2.85	0.93	I	4	122	2.7	0.86	I	4	196	2.92	0.91	I	4		
When I am confronted with a problem, I always look for an alternative solution	267	3.46	0.67	I	4	122	3.21	0.75	I	4	196	3.26	0.74	I	4		
I can handle whatever comes my way	267	3.03	0.9	I	4	122	2.53	0.94	I	4	196	2.75	0.96	I	4		

The evaluation team will use follow-up surveys, as well as qualitative data collection at endline, to see how and whether self-efficacy changes as land tenure is formalized and households go through the mapping and certification process. For the female heads of household who also share a home with a male head of household, the average self-efficacy score was slightly higher, at 2.95, than the overall average self-efficacy score of 2.78. Additional comparisons with future data collection rounds and qualitative research will help determine why this sub-population reported higher self-efficacy.

Baseline data collection also included questions related to decision-making power. The survey asked heads of household about decisions around parcels to gain a snapshot of who is involved in deciding how land is used. In general, as shown in Table 13, the head of household — male or female — was the main decision-maker in parcel use. However, for the male heads of household who reported eight parcels, decision-making was jointly made or outsourced to another household member. Overall, female heads of household were more responsible for decision-making around their parcels, with spouses and joint decision-making having more input in male-headed households.

TABLE 13: DECISION-MAKING SUMMARY STATISTICS

Variable		Male I	Head o	f HH	Female Head of HH						
Variable	n	Mean	SD	Min	Max	n	Mean	SD	Min	Max	
Parcel use decision-making: I = Head						ad and	spouse to	gether,	4 = Ot	her	
male household member, 5 = Other	female	househole	d memb	er, 6 =	Other						
Decision-making on use of parcel 1	267	1.75	1.05	I	6	122	1.26	0.99	I	6	
Decision-making on use of parcel 2	180	1.6	0.94	I	4	62	1.16	0.73	I	6	
Decision-making on use of parcel 3	76	1.76	0.99	I	4	15	1.13	0.52	I	3	
Decision-making on use of parcel 4	27	1.81	1.04	I	4	4	I	0	I	I	
Decision-making on use of parcel 5	П	2.09	1.38	I	5	I	I	NA	I	ı	
Decision-making on use of parcel 6	5	2	1.41	I	4						
Decision-making on use of parcel 7	I	4	NA	4	4						
Decision-making on use of parcel 8	ı	3	NA	3	3						

Women's empowerment can be difficult to measure. In addition to decision-making power, the evaluation team collected data on attendance in meetings, choices around use of household resources, and familiarity with land laws to provide insights into a wife's role in the household.

Around 60 percent of wives survey respondents reported attending a meeting (of any type) in the previous year. Village meetings account for 65 percent (n=128) of the meetings attended by wives, while school meetings were the second most common meeting type, with about 5 percent (n=9). As Table 14 shows, most women (59 percent, n=116) in the treatment group said they feel comfortable speaking in meetings.

TABLE 14: WIVES' DECISION-MAKING SUMMARY STATISTICS

V ariable	n	Mean	SD	Min	Max
Number of group meetings attended	196	2.51	2.56	0	17
Do you feel comfortable speaking in meetings? (I=Y, 0=N)	196	0.59	0.49	0	I
Are there women's groups in the village or surrounding area? (I=Y, 0=N)	196	0.57	0.5	0	I
Decision-making on general parcel use (wives)*	196	2.29	1.37	ı	6
Decision-making on income use (wives)*	196	2.54	1.36	ı	6
Livestock farming decisions (I=Self, 2=Spouse, 3= Joint decision-making)	60	2.40	0.83	I	3
Food crop farming decisions (1=Self, 2=Spouse, 3= Joint decision-making)	187	2.65	0.60	I	3
Did you or anyone else in the household borrow money in the past year? $(I=Y, 0=N)$	196	0.19	0.39	0	I
Familiarity with land laws (wives)	177	0.06	0.23	0	I

Parcel use decision-making: I = Head of household, 2 = Spouse, 3 = Both head and spouse together, 4 = Other male household member, 5 = Other female household member, 6 = Other

Most wives reported that their spouses were most often in charge of decisions related to food crops and livestock farming, and the number of wives who said that they participated in these decisions was somewhat low (n=53). Eighty-five percent of wives (n=167) had no familiarity with the land laws. This will be an important measure to track in subsequent rounds of data collection as LTA implementation informs villagers of their rights under land regulations.

BALANCE AND POWER

In addition to the descriptive statistics presented in this document, the baseline data can also be used to test some of the statistical assumptions related to the evaluation methodology. This section investigates two such assumptions. First, balance tests assess the comparability of the treatment and control groups.

Secondly, the power calculations presented in the evaluation design proposal are revisited using parameters from the baseline data to assess statistical power given the sample size.

Balance Tests

An important consideration in assessing baseline data for an IE is the balance between the treatment and control groups. If substantial differences exist between treatment and control group characteristics, the control group may not be a valid representation of the counterfactual.

The appropriate approach to assessing balance and interpretation of balance tests is not straightforward and has been the subject of recent discussion, summarized in a recent World Bank Development Impact Blog post by David McKenzie. If In practice, researchers often use t-tests or regressions using treatment indicator variables to assess balance. However, as Altman and others have explained, no conceptual justification exists for using the statistical significance of such tests as a criterion for assessing balance. The evaluation team's approach to checking balance follows the "normalized differences" approach suggested by Imbens and Rubin. They propose a statistic calculated by taking the difference between the treatment and control group means, divided by the square root of one-half the sum of the treatment and control group variances. An absolute value greater than one for this statistic raises concerns, while an absolute value of 0.25 or less indicates particularly strong balance.

In interpreting the results of balance tests, this IE's difference-in-difference methodology controls for any differences in initial outcomes or other characteristics between treatment and control groups at baseline, so that imbalance on any variable does not present a problem for the analysis. Rather, observed imbalances that are frequent or that suggest a systematic pattern would raise concerns about differences between the treatment and control groups in terms of unmeasured time-varying factors that the analysis cannot control for, and could thus confound the evaluation findings.

Table 15 shows the results of the "normalized differences" for 18 variables. The evaluation team chose these variables to reflect a broad range of the outcome categories and covariates that the IE analysis will use; these include household demographic characteristics, several measures of perceived tenure security, outcomes related to land disputes, women's empowerment, household wealth and economic outcomes, and several types of land related investment. In no cases are large differences between the treatment and control group sample means observed. As the last column illustrates, the "normalized difference" statistic falls below 0.25 for all of the variables, meeting the Imbens and Rubin standard for good balance. The evaluation team thus concludes with a high level of confidence that the treatment and control groups are well balanced, as would be expected given the randomized assignment between the two groups.

 $[\]label{lambda} \textbf{14 See:} \ \underline{\text{https://blogs.worldbank.org/impactevaluations/should-we-require-balance-t-tests-baseline-observables-randomized-experiments.}$

¹⁵ Altman, Douglas (1985) "Comparability of Randomised Groups" *Journal of the Royal Statistical Society: Series D (The Statistician)* 34 (1), pp. 125-136.

¹⁶ Imbens, Guido and Donald Rubin (2015) Causal Inference for Statistics, Social, and Biomedical Sciences: An Introduction. Cambridge: Cambridge University Press.

TABLE 15: NORMALIZED DIFFERENCE BALANCE TESTS FOR SELECTED VARIABLES

		Treat	ment	Cont	trol	
V ariable	N	Mean	SD	Mean	SD	Normalized diff. stat.
Demographics						
Female headed households, %	782	0.39	0.49	0.34	0.47	0.09
Household size	782	3.92	1.98	3.97	1.92	-0.03
Perceived tenure security	•	•				
Expropriation in next five yrs. is possible, %	782	0.10	0.30	0.07	0.25	0.12
Most/all in village worried about losing land, %	782	0.15	0.36	0.16	0.37	-0.05
Has documentation for at least one parcel, %	779	0.11	0.32	0.15	0.36	-0.11
Land disputes						
Experienced land dispute in past year, %	782	0.10	0.30	0.08	0.27	0.07
Believe land disputes increased in past five years, %	782	0.17	0.38	0.16	0.37	0.03
Believe land disputes will increase in next five years, %	782	0.16	0.36	0.16	0.37	-0.01
Assets and economic outcomes		•				
Size of total land holdings, acres	782	5.17	6.68	6.03	12.74	-0.08
HH did not have enough to eat in past yr., %	782	0.35	0.48	0.30	0.46	0.12
Land-related Investment: % of HHs making each	land-rela	ited investr	nent on a	t least one po	arcel	•
Wells/irrigation, %	782	0.06	0.24	0.10	0.30	-0.13
Erecting buildings, %	782	0.54	0.50	0.58	0.49	-0.07
Erecting fencing, %	782	0.13	0.34	0.13	0.33	0.02
Terracing, %	782	0.31	0.46	0.35	0.48	-0.09
Soil conservation, %	782	0.46	0.50	0.46	0.50	0.01
Women's empowerment (wives survey)						
Land use decisions make by male head of HH only, %	397	0.35	0.48	0.38	0.49	-0.06
Attended village meetings in past yr., %	397	0.74	0.44	0.73	0.45	0.02
Comfortable speaking in village meetings, %	397	0.59	0.49	0.59	0.49	0.00

Power Calculations

The baseline data also allow the evaluation team to revisit the power calculations presented in the evaluation design proposal to improve their accuracy and reassess the expected statistical precision of the IE. In many IEs, power calculations are used to determine the minimum sample size that will be required for the desired level of statistical power. In the case of the LTA IE, however, the sample size is constrained by the fact that LTA implementation is limited to 30 villages. Thus, the task of the power calculations is to determine the level of statistical power that will be possible given that the number of villages is limited to 30, rather than the required sample to achieve a given power.

An important parameter in the power calculations is the village intra-cluster correlation coefficient (ICC), which measures the extent to which observed variation in a variable is due to village-level differences rather than individual differences. In the absence of similar datasets to draw on, power calculations must make assumptions about the ICCs. The design proposal thus presented statistical power for a range of assumptions about the ICCs and at varying Minimum Detectable Effect Sizes

(MDES). Table 16 shows these results. A power of greater than 0.8 is typically considered sufficient, while the appropriate MDES depends on the outcome and generally varies between 0.15 and 0.30. On the basis of Table 16, the design proposal concluded that the analysis was likely to be sufficiently powered for most outcomes, but that outcomes for which the ICC was greater than 0.10 and/or for which impacts were particularly small (MDES less than 0.2) the IE would be statistically underpowered. Being underpowered would mean that the IE would run a significant risk of finding no impact even if LTA did in fact have some impact on these outcomes.

TABLE 16: STATISTICAL POWER BY EFFECT SIZE AND ICC FROM THE EVALUATION DESIGN PROPOSAL

		Minimun	Minimum Detectable Effect Size										
		0.10	0.10 0.15 0.20 0.25 0.3										
	0.01	0.3912	0.7126	0.8842	0.9800	1.0000							
ICC	0.05	0.3014	0.5629	0.7605	0.9222	0.9840							
icc	0.10	0.2395	0.4451	0.6627	0.8184	0.9441							
	0.15	0.1956	0.3513	0.5669	0.7725	0.8922							

Following data collection, the evaluation team can use the baseline data to calculate the ICCs directly instead of using assumptions and thus make a more accurate assessment. Table 17 presents ICCs for selected variables. The results show that in most cases, the ICCs are low, so the analysis should be sufficiently powered to measure these outcomes at plausible effect sizes. There are two exceptions, however. Food security, as measured by the likelihood of the household not having enough to eat at any time in the past 12 months, has an ICC of 0.139, indicating that village-level factors impact food security to a greater degree than they do other outcomes. Moreover, the impact of receiving a CCRO would be expected to have a relatively small impact on this outcome. Thus, the data suggests that the IE will unlikely be able to measure impacts on food security. The likelihood of investing by erecting buildings is also associated with a high ICC, so measuring this outcome may not be possible for the IE. However, other types of land-related investment — such as small-scale irrigation, fencing, terracing, and soil conservation — exhibit lower ICCs and should thus be detectable for the IE.

TABLE 17: POWER CALCULATIONS FOR SELECTED VARIABLES

Variable	N	ICC
Perceived tenure security		
Expropriation in next five yrs. Is possible, %	782	0.043
Most/all in village worried about losing land, %	782	0.049
Land disputes	,	
Experienced land dispute in past year, %	782	0.050
Believe land disputes increased in past five years, %	782	0.063
Believe land disputes will increase in next five years, %	782	0.038
Economic outcomes	,	
HH did not have enough to eat in past yr., %	782	0.139
Land-related Investment: % of HHs making each land related investment on a	at least one parcel	
Wells/irrigation, (%)	782	0.056
Erecting buildings, (%)	782	0.163
Erecting fencing, (%)	782	0.049
Terracing, (%)	782	0.074
Soil conservation, (%)	782	0.038
Women's empowerment (wives survey)		
Land use decisions made by male head of HH only, %	397	0.054
Attended village meetings in past yr., %	397	0.066
Comfortable speaking in village meetings, %	397	0.039

Overall, the ICCs are consistent with the conclusions of the statistical power discussed in the design proposal. The data suggest that the IE will be sufficiently powered to measure impacts on the anticipated outcomes at effect sizes of 0.25 or less, though there may be some exceptions for certain variables.

CONCLUSIONS

This baseline report presented background information about the LTA activity and the IE design, summarized the baseline data collection process, investigated some of the methodological assumptions in the evaluation design proposal, and presented descriptive statistics from the baseline data. The key conclusions that emerge from the baseline research are as follows:

- **Dataset quality:** The baseline dataset appears to be of high quality. The data collection process did not encounter any major challenges, and appropriate monitoring and quality control procedures were followed throughout the process.
- Household characteristics: The baseline dataset includes 389 LTA beneficiary households. A
 substantial proportion of these are female-headed households (31.3 percent), with nearly all
 household heads reporting an education level of primary or less.
- Tenure security and land disputes: The baseline data show substantial variability in perceived tenure insecurity, with many respondents expressing high levels of confidence but some expressing major concerns. For example, 11 percent believe they could lose their land against their will in the next 5 years. Land disputes are relatively unusual, having affected 7.8 percent of households in the past year, but are more than twice as prevalent among women compared to men.
- Land holdings, use, and investment: Most households use multiple land parcels, with wide variety across the sample in terms of the size of the landholding. The most common types of investment in land include soil conservation, terracing, and planting non-fruit trees.
- Social and empowerment outcomes: Food insecurity is prevalent among the sampled
 household, with approximately one-quarter of male-headed households and two-fifths of femaleheaded households reporting not having enough to eat at least once in the past 12 months.
 Decision-making power related to land tends to be concentrated among male household heads,
 while roughly 60 percent of women in the treatment group regularly attend village meetings and
 feel comfortable speaking in them.
- **Differences between groups:** As would be expected given the randomized design, no major differences were observed between the treatment and control groups that would raise concerns for the IE.
- Statistical power: The baseline data indicate that the IE is expected to have sufficient statistical power to accurately measure the impacts of LTA on a broad range of outcomes. However, the fact that implementation is limited to 30 villages may mean that the IE is not able to reliably detect impacts for a limited number of the anticipated outcomes, such as food security.
- **Future coordination:** Continued coordination and communication between the evaluation team, the LTA implementation team, and USAID will be important for ensuring fidelity of implementation and the success of the IE.

ANNEX A: EVALUATION STATEMENT OF WORK

Impact Evaluation of the Feed the Future Tanzania Land Tenure Assistance Activity

This Statement of Work is for an impact evaluation commissioned by the United States Agency for International Development (USAID) that will examine the Feed the Future Tanzania Land Tenure Assistance (LTA) Activity.

I. Project Information

LTA is a four-year activity awarded by USAID/Tanzania to DAI in 2015 and is a part of the Feed the Future (FTF) initiative. The LTA activity seeks to clarify and document land ownership, support land use planning efforts, and increase local understanding of land use and land rights in Tanzania. It is envisioned that the interventions carried out under LTA will reduce land tenure-related risks and lay the groundwork for sustainable agricultural investment for both smallholder farmers and commercial investors throughout the Southern Agricultural Growth Corridor of Tanzania (SAGCOT) and in the value chains of focus for Tanzania's FTF program.

The LTA activity was designed in line with the Government of Tanzania's (GOT) land tenure objectives to safeguard USAID's ongoing agricultural and economic growth investments and to protect the interests of the private sector and local communities. The activity seeks to achieve these goals by:

- Assisting villages in completing the land use planning process and delivering Certificates of Customary Right of Occupancy (CCROs) through the use of open source mobile technology developed under USAID's Mobile Application to Secure Tenure (MAST) pilot activity;
- 2. Developing the capacity of village and district land governance institutions, and individual villagers, to complete the land use planning and CCRO process, effectively manage land resources, respect women's land rights, and build agriculture-related business skills through education and awareness-raising activities; and
- 3. Raising awareness of the MAST technology within the GOT, civil society, academia, and the private sector, with the goal of increasing uptake of the technology on a national level.

LTA is comprised of two larger activities (I and 2) and two smaller activities (3 and 4), described below. Local sustainability is a critical component of the overall LTA activity. The goal of LTA is to empower district and village land institutions in targeted districts to carry forward the capacity development and land administration process independently (and with little or no outside financial support) once the activity concludes.

- Activity I: Assist villages and district administrations in completing the land use planning process and delivering CCROs in select villages within two districts (Iringa and Mbeya).
- Activity 2: Educate and develop the capacity of village land governance institutions and individual villagers to complete the land use planning and CCRO process, effectively manage land resources, respect the land rights of women, youth, and pastoralists, and build agriculturerelated business skills.
- Activity 3: Educate and develop the capacity of district-level land governance institutions in the Mbeya District to complete the land use planning and CCRO process; effectively manage land resources; respect the land rights of women, youth, and pastoralists; and build agriculturerelated business skills.
- Activity 4: Develop capacity to use the MAST application throughout the SAGCOT and nationally.

DAI plans to implement LTA in five to six test villages over the summer of 2016. These initial villages are likely to be in Iringa District, due to Ministry preferences, but may be in Mbeya District as part of the LTA's capacity development activities. Full rollout of LTA is expected to occur in early 2017 in Iringa District, with at least 30 villages selected to receive the interventions.

2. Development Hypothesis

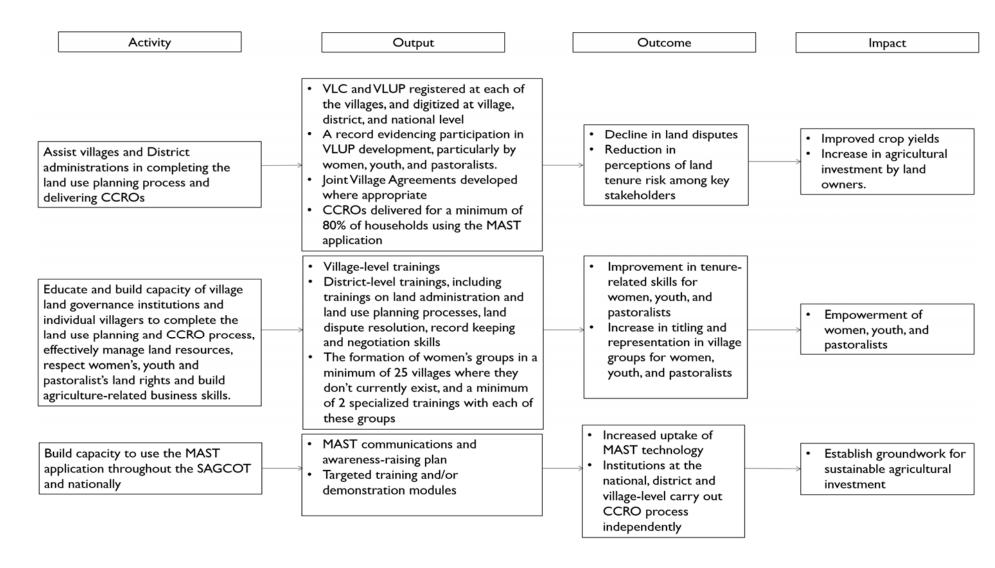
USAID envisions that if the LTA activity clarifies and documents land ownership, supports land use planning efforts, and increases local understanding of land use and land rights, then this will lead to increased agricultural investment, reduced land tenure risk, and more empowered people and local institutions. The LTA activity components work in tandem to promote inclusive agricultural development, food security and investment, and institutional capacity.

This section provides a preliminary version of the development hypotheses and causal linkages that the evaluation will consider, which will be refined and further elaborated in the Evaluation Design Proposal. Figure I illustrates the causal linkages that USAID envisions for translating results under each of the activities¹⁷ into the LTA activity's intended intermediate and final outcomes and that this evaluation will be expected to examine. In this Theory of Change diagram, the proliferation of CCROs leads to increased investment and reduced disputes through improved perception of tenure security. As illustrated in the diagram, the possible hypotheses for examination within the LTA activity could include:

- I. If villages and district administrations receive assistance for completing the land use planning process and delivering CCROs to formalize land rights, then disputes over land tenure will decline and crop yields will improve.
- 2. If village land governance institutions and individual villages are educated and trained on the land use planning and CCRO process, including on respecting the land rights of women, youth, and pastoralists, then women, youth, and pastoralists will experience an increase in titling, improvement in skills, and have better representation in their villages.
- 3. If the LTA activity develops capacity to use the MAST application throughout the SAGCOT and nationally, then communities and institutions at all levels will be able to sustainably certify land tenure, which will promote agricultural commercial activity and investment.

¹⁷ Only three activities are shown in the Theory of Change diagram, since Activity 3 is specific to Mbeya District, and this evaluation will largely focus on Iringa District.

FIGURE 1:THEORY OF CHANGE FOR THE LTA ACTIVITY



3. Existing Performance Information Sources

The LTA activity is currently in its start-up phase and is developing an inception report that will outline its approach to implementation. There have been similar, albeit smaller scale, land rights interventions in Tanzania that utilize mobile technology, 18 but these have not been rigorously evaluated. The evaluation team has received limited documentation on the LTA activity's implementation plans to date, but USAID and DAI have committed to share all implementation reports, results frameworks, and survey materials as they become available.

USAID has already provided the evaluation team with the following documents and data related to the LTA activity:

- Scope of Work for the LTA Request for Task Order Proposals (RFTOP)
- USAID/Tanzania letter to the Ministry of Lands, Housing, and Human Settlement Development
- Iringa Village Data
- Iringa District Map with potential selection sites

The following additional documents have not yet been provided to the evaluation team but will be shared as the evaluation progresses:

- DAI proposal for LTA RFTOP
- Results framework from DAI for LTA
- All future quarterly and annual project management and progress reports prepared by DAI for LTA
- Copies or detailed descriptions of content of land tenure campaigns
- Documents pertaining to the certification, selection, and implementation of tenure projects
- Annual USAID/Tanzania LTRM Survey materials, including M&E data, sampling plans, and survey instruments

In addition to information provided by USAID and DAI, the evaluation team may need to access other types of secondary data, including administrative information on the relevant Tanzanian municipalities from a variety of sources, including Government of Tanzania (GOT) statistical agencies. The evaluation team will work with USAID and DAI as needed to obtain relevant introductions and permissions to access any such data that are needed.

4. Evaluation Purpose, Audience, and Intended Use

Purpose

The purpose of this impact evaluation is to provide USAID with an evidence base on the impacts of its investment in the LTA activity and also to build the evidence base on the impacts of land mapping, registration, and formalization in rural customary land tenure settings in Tanzania. The results of this evaluation will be made widely available to encourage replication within or beyond Tanzania, as applicable. As such, this evaluation will apply USAID's *Evaluation Policy* guidance with respect to using the most rigorous evaluation design and methods possible to demonstrate accountability for achieving results. The evaluation is also designed to capture practical lessons from USAID's experience with regard to increasing sustainable agricultural investment by securing land tenure through first-time registration.

¹⁸ Mobile technology refers to MAST, which uses open source code and readily available mobile technologies (e.g., GPS/GNSS-enabled smart phones and tablets) coupled with broadly participatory crowd-sourced data collection methods.

Audience

The evaluation is aimed at several audiences. First, the findings are expected to be of value from an accountability and learning standpoint to USAID. Secondly, findings and lessons learned from this evaluation will also be of interest to the GOT, which aims to scale CCRO delivery rapidly across the country, and to DAI and other practitioners in the land tenure sector working to document customary land rights. Finally, the evaluation will be of interest to donors, implementers, and scholars more generally by making an important contribution to the evidence base on land tenure interventions.

Intended Use

This evaluation will be used to inform the design of future donor and government activities that aim to improve tenure security and generate economic benefits by strengthening land rights. One such activity is the upcoming Land Tenure Support Program, a large-scale effort jointly funded by DfID, SIDA, and DANIDA.

5. Evaluation Questions

The evaluation will address a specific set of evaluation questions that will be developed and finalized in close collaboration between USAID/E3/Land, USAID/Tanzania, the evaluation team, DAI, and other stakeholders as appropriate. This SOW will be updated following final agreement on the evaluation questions.

In general, the evaluation questions are expected to focus on the impact of the LTA activity on four types of outcomes:

- I. <u>Investment</u>: by improving tenure security and reducing disputes, LTA is also anticipated to stimulate small-scale agricultural investment. Stronger land rights increase landholders' confidence that they will be able to reap the benefits of investments in their land that pay off over time. Such investments may include small-scale irrigation technology, soil conservation measures, or switching to perennial crops such as coffee, cashews, or fruit trees. The existing evidence on the relationship between land rights and these kinds of investments shows considerable variation in the levels and types of impacts that are observed; a summary and meta-analysis of the evidence from West Africa is provided by Fenske (2011).
- 2. Perceived tenure security: an important outcome associated with LTA is the extent to which beneficiaries perceive the activity as having strengthened their land rights. In practice, this means that LTA should reduce beneficiaries' concerns that their land could be expropriated, or that they could face costly disputes related to their land. Measuring the activity's impact on these kinds of perceptions requires careful attention to the context, so that survey questions can be structured around the particular issues and concerns that beneficiaries face. A number of previous impact evaluations commissioned by USAID/E3/Land have considered these issues, and the impact evaluation of LTA will draw on these experiences in developing its approach to measuring tenure security.
- 3. Incidence of land-related disputes or disputes: in addition to changing perceptions, another outcome that the evaluation may consider is the actual incidence of disputes and disputes over land. As above, careful attention to context is needed in designing the approach to measuring these outcomes. While reducing land dispute is an important outcome, a potential challenge with measuring impacts on dispute is that interventions such as those under LTA can actually increase the incidence of land disputes in the short run. For example, disputes may arise in the course of establishing boundaries, or latent disagreements about land rights may rise to the surface in the course of establishing formal claims. Such disputes were observed for the first

MAST pilot site, with several reported cases of border disputes, intra-family disputes over ramifications for inheritance, as well as former residents returning to try to reassert old claims when they learned that land registration was occurring. In course of finalizing the evaluation questions, the evaluation team should assess the potential for the evaluation to accurately measure these kinds of outcomes within the anticipated timeframe for the evaluation.

4. Empowerment: the evaluation will also consider outcomes related to empowerment. Empowerment is often considered from the standpoint of potentially vulnerable sub-groups such as women, youth, or the poor, and can also be conceptualized more generally. A World Bank study by Alsop and Heinsohn (2005) defines empowerment broadly as "as a person's capacity to make effective choices; that is, as the capacity to transform choices into desired actions and outcomes," and presents a framework for measuring different dimensions of empowerment. In the context of LTA, strengthening land rights in expected to act on empowerment by improving security of assets that are critical to people's lives in the household, community, and economy.

For the impact evaluation of LTA, empowerment outcomes are of particular interest in the context of gender. A recent paper by Allendorf (2007), for example, found that land rights are closely linked to women's empowerment in Nepal. In addition, USAID has funded the development of the Women's Empowerment in Agriculture Index, which is widely used to measure women's empowerment in FTF activities. The Index includes a battery of survey questions and methods to measure various dimensions of empowerment, and could be incorporated directly into the household surveys for the LTA impact evaluation.

The types of outcomes described above reflect changes in behaviors and attitudes that are expected to be measurable over a relatively short timeframe (approximately one to two years following the conclusion of implementation). LTA is also anticipated to potentially impact a broader set of economic outcomes in the longer term, as the benefits of these changes in behaviors and attitudes are realized over time. These include frequency of land transactions, access to credit, agricultural productivity, and ultimately improvements to household income, consumption, and food security. In light of the limited evidence base on the impact of land tenure interventions - particularly in a randomized controlled trial (RCT) setting – the evaluation may also examine these longer-term outcomes. One approach would be for the evaluation to include an initial round of follow-up data collection and analysis focused on the four intermediate outcomes above, with a second follow-up at a later date to measure longer term impacts. This would allow the evaluation to generate useful findings within one to two years of implementation, while still taking full advantage of the learning potential of a RCT to investigate broader economic outcomes.

6. Gender Considerations

In line with USAID's Gender Equality and Female Empowerment Policy and Automated Directives System 203.3.1.5, the evaluation will consider gender-specific and differential effects of LTA. The evaluation team will disaggregate access and participation data by gender at multiple points along the Theory of Change diagram to analyze the potential influence these effects have on activities and outcomes. Data collected through surveys conducted under this evaluation will be gender-disaggregated to identify gender differences with respect to benefits and outcomes, as well as lessons learned from female title holders and farmers. The evaluation team will conduct further inquiry on gender themes as they emerge during data analysis.

7. Evaluation Methods

Impact Evaluation Design

Impact evaluations identify activity impact by comparing outcomes between activity beneficiaries to those of a control or comparison group of non-beneficiaries. The control or comparison group is intended to represent the counterfactual, or what would have happened in the absence of the LTA intervention. As per the USAID Evaluation Policy, impact evaluations using experimental designs — whereby units are randomly assigned to treatment and control groups — provide the most rigorous evidence of activity impact, and this will be the preferred approach for the LTA impact evaluation. Where randomized assignment is not feasible, quasi-experimental impact evaluation designs can be employed as an alternative.

The evaluation team responding to this SOW will work with USAID/E3/Land, USAID/Tanzania, and DAI staff to develop a design that suits the objectives, timing, and constraints of the LTA evaluation. The evaluation team will produce an Evaluation Design Proposal to be approved by USAID/E3/Land prior to site selection or randomization taking place. It is expected that the evaluation questions will be answered using an experimental or, if necessary, quasi-experimental design, and that a mixed-method approach may be suitable to answer the evaluation questions.

Data Collection Methods

A range of methodologies can be used in impact evaluations, and the most appropriate approach in any particular case depends on a variety of factors including the goals of the evaluation, the outcomes to be measured, the nature of the activity being examined and its implementation approach, and the resources and timeframe available for the evaluation.

USAID anticipates that data collection for this evaluation will involve the use of household-level surveys that cover all of the villages targeted for LTA. This is likely to include a baseline survey that would be conducted before major LTA interventions commence. The survey would collect information on basic demographics, household and individual characteristics, and the outcomes of interest that the evaluation will measure. The evaluation team responding to this SOW shall provide further details on data collection methods and the specific survey methodology in the Evaluation Design Proposal, including proposing specific data collection methods on a question-by-question basis.

Pending further discussion with USAID and DAI, data collection for this evaluation may also include collecting village-level information about potential activity sites that can be used to determine which villages may be eligible to participate in the activity.

8. Data Analysis Methods

In its Evaluation Design Proposal, the evaluation team responding to this SOW should propose specific data analysis methods on a question-by-question basis, including the appropriate mix of methods necessary to estimate the impact LTA has on the primary outcomes of interest. Potential data analysis methods include difference-in-difference and multivariate regressions. The Evaluation Design Proposal should also explain what statistical tests will be conducted on data collected to address all evaluation questions, how qualitative data will be analyzed, and whether that analysis will allow the evaluation team to transform some data obtained from qualitative into quantitative form.

The Evaluation Design Proposal should also indicate and justify the evaluation team's proposed sequencing of quantitative and qualitative data collection. For example, if key informant qualitative interviews are conducted during the endline data collection process, these lines of data may be collected and analyzed in parallel and only synthesized once data from all other sources are available.

9. Strengths and Limitations

The strengths and limitations of the LTA impact evaluation will depend on the final design proposed by the evaluation team in consultation with USAID and DAI. The final design should reflect a rigorous approach to answering the evaluation questions and contribute to the global knowledge on land tenure. One key contribution of this evaluation is that it is expected to specifically test the impact of LTA on women, youth, and pastoralists, which is a great contribution to the evidence base on land tenure and investment.

Sample size, activity reach, and implementation fidelity could all create internal validity limitations for this evaluation. Ensuring that the sample size achieves sufficient statistical power will be critical for identifying impact and answering the evaluation questions. In addition, ensuring that randomization is done properly and random assignment, if applied, is systematic will improve the internal validity of the evaluation but must be done in a transparent manner. Indirect contamination across treatment arms and control groups is always a possibility, which is why it is important for the evaluation team and the implementation team to coordinate from the outset.

10. Evaluation Deliverables

It is anticipated that the evaluation team responding to this SOW will be responsible for the deliverables listed in Table I. A final list of proposed deliverables and due dates will be included in the Evaluation Design Proposal for USAID's approval.

TABLE I: EVALUATION DELIVERABLES

	Deliverable	Estimated Due Date
Ι.	Concept Paper, describing design and methodological options to answer the evaluation questions	TBD in consultation with USAID
2.	Draft Evaluation Design Proposal	TBD in consultation with USAID
3.	Final Evaluation Design Proposal, including data collection and analysis methods, evaluation instruments, team composition, and proposed timeline	TBD in consultation with USAID
4.	Baseline Report	o/a 60 days following completion of baseline data collection
5.	Fully cleaned, redacted, and documented baseline data submitted to DDL	o/a 90 days following completion of baseline data collection
6.	Draft Evaluation Report	o/a 60 days following completion of endline data collection
7.	Final Evaluation Report	o/a 21 days following receipt of USAID comments on Draft Evaluation Report
8.	Fully cleaned, redacted, and documented endline data submitted to DDL	o/a 90 days following completion of endline data collection

All documents and reports will be provided electronically to USAID no later than the dates indicated in the approved Evaluation Design Proposal. The format of the evaluation report should follow USAID guidelines set forth in the USAID Evaluation Report Template.

11. Team Composition

The Evaluation Design Proposal should describe the specific composition and qualifications of the team members who will be carrying out this evaluation, including CVs for core team members. General qualifications and roles anticipated for the primary positions on the core evaluation team are listed below. Local survey research firm(s) with experience in the conduct of household surveys at the village level and/or qualitative data collection may also support the evaluation team, as necessary.

Principal Investigator

The Principal Investigator for this impact evaluation will hold a Ph.D. in a relevant economic development field. S/he will have previous experience with land tenure programs and will have previously served as a team leader for one or more impact evaluation(s). Familiarity with a range of impact evaluation designs and with USAID evaluation guidance will be sought for this position. Experience in publishing evaluation research in peer-reviewed journals is desirable, as is experience working in East Africa. A demonstrated ability to gather and integrate both quantitative and qualitative findings to answer evaluation questions is expected. Demonstrated experience managing multinational teams and producing highly readable reports for USAID and its developing country partner audiences on a timely basis is expected. This individual will be primarily responsible for the quality of the evaluation design and its execution, particularly with respect to the evidence obtained on questions involving causality and the attribution of outcomes to USAID's intervention. This is not anticipated to be a full-time position.

Evaluation Specialist

The Evaluation Specialist should have a graduate degree in a relevant social science field and may be a Tanzanian national. The individual will have sufficient previous experience with evaluations and other types of studies involving sample surveys to be actively engaged in efforts to oversee and ensure the quality of multiple rounds of household surveys, that data codebooks are clearly written, and that all study data prepared by local survey research firms can be properly transferred to USAID. Gender analysis experience is also desirable. This is not anticipated to be a full-time position.

12. USAID Participation

The desirability of USAID participation in evaluation activities such as field reconnaissance will be considered in consultation with USAID and the evaluation team, and any specific roles and responsibilities of USAID staff will be described in the Evaluation Design Proposal.

13. Scheduling and Logistics

Figure 2 provides a preliminary timeframe for impact evaluation activities, which will be updated and refined by the evaluation team in its Evaluation Design Proposal. It is anticipated that implementation of LTA will occur at the start of FY17.

Figure 2: Preliminary Timeline for LTA Impact Evaluation

	EV	16		Implementation Period for LTA Project										FY 20				FY 21				
Tasks		10		FY	17			FY	18			FY	19			F1 20				۵۱		
	Q3	Q4	QΙ	Q2	Q3	Q4	QΙ	Q2	Q3	Q4	QI	Q2	Q3	Q4	QI	Q2	Q3	Q4	QI	Q2	Q3	Q4
Concept Paper																						
Scoping Trip																						
Evaluation Design Proposal																						
Survey Pre-Test																						
Enumerator Training																						
Baseline Data Collection																						
Baseline Data Analysis and Report																						
Oral Presentation of Baseline Findings																						
LTA Program Implementation (100%)																						
Endline Data Collection and Analysis																						
Endline Report																						
Draft Final Report																						
Oral Presentation(s)																						
Final Report																						

The evaluation team will be responsible for procuring all logistical needs such as work space, transportation, printing, translation, and any other forms of communication. USAID will offer some assistance in providing introductions to partners and key stakeholders as needed, and will ensure the provision of data and supporting documents as possible.

14. Reporting Requirements

The format of the evaluation report should follow USAID guidelines set forth in the USAID Evaluation Report Template (http://usaidlearninglab.org/library/evaluation-report-template) and the How-To Note on Preparing Evaluation Reports (http://usaidlearninglab.org/library/evaluation-report-template).

The final version of the evaluation report will be submitted to USAID and it is anticipated that it will not exceed 30 pages, excluding references and annexes.

All members of the evaluation team will be provided with USAID's mandatory statement of the evaluation standards they are expected to meet, shown in the following text box, along with USAID's dispute of interest statement that they should sign before field work starts.

USAID EVALUATION POLICY, APPENDIX I CRITERIA TO ENSURE THE QUALITY OF THE EVALUATION REPORT

- The evaluation report should represent a thoughtful, well-researched and well organized effort to objectively evaluate what worked in the project, what did not and why.
- Evaluation reports shall address all evaluation questions included in the scope of work.
- The evaluation report should include the scope of work as an annex. All modifications to the scope of work, whether in technical requirements, evaluation questions, evaluation team composition, methodology or timeline need to be agreed upon in writing by the technical officer.
- Evaluation methodology shall be explained in detail and all tools used in conducting the evaluation such as questionnaires, checklists, and discussion guides will be included in an Annex in the final report.
- Evaluation findings will assess outcomes and impact on males and females.
- Limitations to the evaluation shall be disclosed in the report, with particular attention to the limitations associated with the evaluation methodology (selection bias, recall bias, unobservable differences between comparator groups, etc.).
- Evaluation findings should be presented as analyzed facts, evidence and data and not based on anecdotes, hearsay or the compilation of people's opinions. Findings should be specific, concise and supported by strong quantitative or qualitative evidence.
- Sources of information need to be properly identified and listed in an annex.
- Recommendations need to be supported by a specific set of findings.
- Recommendations should be action-oriented, practical, and specific, with defined responsibility for the
 action.

15. Budget

The evaluation team responding to this SOW will propose a notional budget for this evaluation, including cost implications of the methodological options proposed. A full detailed budget will then be prepared for USAID's approval.



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^	Intro	HIICTIAN	and Consent
М.	HILL OL	JUCLIOII	and Consent

Greetings! My name is ______. I am from Research Solutions Africa (RSA) and is currently undertaking a survey on behalf of MSI/NORC, a contractor with the United States Agency for International Development, in conjunction with the Iringa District Land Office to learn more about villagers in this district.

We are currently visiting villages in Iringa to gain a better understanding of village land use, administration, and the local community. The answers from this questionnaire will be used to learn more about land-use and life in the village.

I will not tell anyone about your answers to these questions. Only the research team will view your responses. Although we will ask for information about this village and your experience here, we will never use personal information in our documentation and will not report sensitive village information to anyone. This survey does not mean that a project or NGO will come to this village, and your answers will not affect whether any future projects come to this village. The entire survey will take about 2 hours.

If you have any questions in the future, you can contact MSI via phone at+255 676 788 364 or +255 719 147 083

Are you willing to proceed with the interview?

- 1. Yes.... >>>(Tick category of hhd respondent and proceed as appropriate)
- 2. No.... >>>(Tick respondent category and Terminate interview)

Category of household respondent

- I. Male household head >>>Section B
- 2. Female household head >>>Section M
- 3. Head of household (for households with only one household head: widows/widowers/single parents/single-member households, etc.) >>> Section B

ADMINISTRATIVE INFORMATION

Household number				
Date of interview:	DD MM	YY		
Time of interview:	Start HH	MM Sto	op	HH MM
(24 hour clock)				
Name of interviewer:				
Code of interviewer				/
Place of interview:				
Ward				
Village				
Point of interview	 Respondent's i 	residence		
	2. In one of the h	ousehold's pare	cel of land	
	3. Away from res	pondent's place	e of residence a	nd/or parcel of land
GPS Coordinates				
Number of visits (max. of 3)				
Reason for call back			Numbe	r of visits
Reason for can back		/ 1	2	3
Refused to be interviewed			1	1
Target respondent not at home			2	2
Target respondent requested for a ca	all back			
No one in the household			3	3
Respondent not able to be interv	viewed due to medical		4	4
reasons (very sick, dumb, etc.)				
No adult member in the household			5	5
Language barrier			6	6
Not applicable	<u>//</u>		99	99
Outcome of final visit		Successful	Incomplete	Replaced

Field quality control checks (sign as appropriate)										
Activity undertaken by										
	Interviewer	Supervisor								
Reviewed										
Accompanied										

Back checked	
Called back	

B. Household Roster and Information

I would like to start this interview with a few questions about each of your household members.

	Name	Question	Response options/units	Notes/instructions
		Thank you for agreeing to take this survey. To start, I would like to ask you a few questions about your household and your role as the head of the household.		
ВІ	Hou_role	Are you the household head?	I Yes 2 No	
BI.I	Hou_gender	What is the respondent's gender?	I Male 2 Female	 If hou_role = I &hou_gender = I continue to hou_num_n and end survey at If hou_role = I &hou_gender = 2 continue through end of survey (all modules) If hou_role = 2 &hou_gender = 2 go to Module L (Wives Survey) If hou_role = 2 &hou_gender = I, ask for household head, if the household head is not

				available continue
				to hou_num_n.
B1.2	hou_num_n	How many members constitute this household?	Enter number of household members based on hou_nme.	
B1.3	hou_nme	Can you tell me the name of all the members of this household?		RECORD THE HOUSEHOLD MEMBERS BEGINNING WITH THE HOUSEHOLD HEAD, FOLLOWED BY THE SPOUSE AND THEN THE CHILDREN STARTING WITH OLDEST FIRST AND CONCLUDING WITH THE YOUNGEST.
B2	hou_tride_n	What tribe or tribes is each member of this household from?MARK ALL THAT APPLY (multiple answer)	I. Hehe 2. Bena 3. Kinga 4. Pangwa 5. Maasai 990.Other(specify)	Repeat questions indexed _n for each of n household members
B3	hou_gender_n	What is [NAME]'s gender?	I = Male, 0= Female	
B4	hou_rel_n	How is [NAME] related to the head of the household?	 HEAD SPOUSE SON/DAUGHTER STEP SON	
B5	hou_age_n	How old is [NAME] in completed years?		Enter age. Enter 996 for Don't Know.
B6	hou_edu_n	What is the highest grade level that [NAME] has completed?	PRIMARY	Skip if younger than 15

			PIII	
			P212	
			P313	
			P414	
			P515	
			P616	
			P717	
			FORM	
			F121	
			F222	
		/	F323	
			F424 'O'+COURSE.25	
			F531	
			F632 'A'+COURSE.33	
			DIPLOMA	
		· ·	Diploma 134	
			Diploma 2	
			UNIVERSITY	
			UI41	
			U242	
			U343	
			U444	
			U5&+45	
B7	hou_rdwr_n	Can [NAME] read and write a simple sentence.	I. KISWAHILI	Skip to Hou_look_n if
			2. ENGLISH	younger than 15
			3. KISWAHILI &	
			ENGLISH	
			4. ANY OTHER	If 999 >>> Next
			LANGUAGE	household member
			5. NO	OR
			999.N/A (Younger than 15	>>> Next Section
B8		VA/hat is the manifed status of ENIAMET?	years) I. Married	
DO		What is the marital status of [NAME]?	2. Co-habitation	
			3. Divorced	
			4. Separated	
			5. Widow/er	
			6. Never married	
		<u>L</u>	J. Herei mairied	

			990. Other (specify)
В9	Hou_look_n	During the past 4 weeks, did [NAME] actively look for work?	I. Yes 2. No 996. Don't know
BIO	Hou_take_n	Was [NAME] available to start a job if he/she found one?	I. Yes
BII	hou_fwrkwet_n	Did [NAME] work on the household farm, including fields and kitchen garden, during last year's short and long rainy season?	I. Yes 2. No 996. Don't know
BI2	Hou_fwrkdry_n	Did [NAME] work on the household farm, including fields and kitchen garden, during last year'sdry season?	I. Yes 2. No 996. Don't know
B13	Hou_status_n	Which of the following best describes the present situation of [NAME]? READ OPTIONS OUT LOUD	I. Housework / housewife 2. Student 3. Retired 4. III, disabled 5. Not working and not looking for work 990. Other (specify)
B14	Hou_emptype_n	In what type of economic activity did [NAME] spend most of his/her time in the last 12 months:	I. ON OWN/FAMILY FARM OR SHAMBA 2. UNPAID FAMILY HELPER (AGRIC) 3. UNPAID FAMILY HELPER (NON- AGRIC) 4. A PAID EMPLOYEE 5. SELF EMPLOYED

C. Agricultural Organizations, Services and Training

	Name	Question	Response options/units	Notes/instructions
CI	org_proforg	Are you a member of a farmer association or cooperative?	I. Yes	
	0_1 3 3	, , , , , , , , , , , , , , , , , , , ,	2. No	
			3. Don't know	
C2	org_coop	Are you a member of any other kind of cooperative not related to	I. Yes	If 2 >>> C3
		agriculture?	2. No	
			3. Don't know	
C2.1	org_coop_prd	What kind of cooperative?	Political party	If org_coop = yes
		CELECT ALL THAT APPLY	2. Village group (non-agric)	
		SELECT ALL THAT APPLY	3. Education group	
			4. Religious group	
			990.Other (specify:)	
C3	org_srv	Did you or anyone in your household receiveany agricultural extension	I. Yes	If 2 >>> C5
		services in the past 12 months?	2. No	
			996.Don't know	
C3.1	org_prd	What kind of services were provided?	I. Access to improved seed	If org_srv = yes
			2. Fertilizer, pesticides and other	
			chemical inputs	
			3. Tractor services	
			4. Marketing services	
			5. Transport services	
			6. The opportunity to participate in	
			a value chain scheme	
			7. Help to form or strengthen	
			farmer groups	
			8. Contract farming	
			9. Post-harvest processing of ANY	
			of crops (including drying,	
			sorting, packaging, and/or	
			storing)	
			Purchasing of ANY of thecrops	
			II. Training on agricultural	
			production and/or processing	
			12. Training on business practices	
			i =	

			990. Other, SPECIFY	
C3.2	org_used_srv	How often has anyone in your household made use of extension services in the past 12 months?	1 3 times or more2 Once or twice3 Never	
C4	org_trnd	In the past 12 months, have you or anyone in your household received any kind of community or organizational assistance related to agriculture, such as assistance from an NGO or community group?	I. Yes 2. No 996.Don't know	If org_trnd != I skip to next module If 2 OR 996 >>>Next Section
C4.1	org_what	What kind of services were provided?	 Free food/maize distribution Food-for-work programme or cash-for-work programme Inputs-for work programme Attended a training or workshop Had an agent visit my/our parcel(s) Read a pamphlet Other assistance (not listed above) 	
C4.2	org_frequ	For how many days in the past 12 months did you or anyone in your household receive these services?	Enter days	
C5	org_name	Are you aware of these organizations working in your village? MARK ALL THAT APPLY	 One Acre Fund Briten Unicef Eadd Cuamm Clinton Foundation Tahea Camfed Cefa Wopata Jica TIB Concern Tunajali SNV TNRF TCD IMO 	Select all that apply

	19. Cheet
	20. Restless Development
	21. LEAT
	22. Caltas

D. Land Holdings and Characteristics Response options/units Name Ouestion Notes/instructions Thank you for the earlier responses. I would now like to ask you a few questions about your land holdings and the parcels you farm. DI How many different parcels does the household Enter number Lan num own, rent, or use? D2 Please give each parcel a name so we can keep track If lan num> I. From Lan_name here down, ask for each during the interview parcel. Which parcels does the household own? D2.1 Enter PARCEL ID This should be left blank Lan own if no parcels are rented. Which parcels does the household rent? Enter PARCEL ID This should be left blank D2.2 Lan own if no parcels are rented. D3 Is [PARCEL ID] inside the village boundary? I= Yes Lan boun 2 = NoIs [PARCEL ID] near the village center D4 Lan cent J= Yes 2 = NoD5 Is [PARCEL ID] near your homestead? I= Yes Lan home 2 = NoWhat is the size of [PARCEL ID]? D6 Unit Record local Lan_sze_i Quantity units/quantity. D7 Lan dist i How long does it take to get from your house to Record in minutes. [PARCEL ID] on foot? Is [PARCEL ID] in a different village from the one Land diffcom i I. Yes D8 you live in? 2. No Don't know Land diffcomvi i What is the name of the village where [PARCEL ID] If Land diffcom i = I D9 Enter village name What is the ownership status of [PARCEL ID]? If 3 OR 4 >>> D13 DI0 Lan_right_i I. Owned by the household 2. Used by the household free of charge Rented by the household 4. Rented by the household together with other people

			5. Owned by the household together with other people	
DII	Lan_othrent_i	Does someone else rent [PARCEL ID] from you?	I. Yes 2. No	
DI2	Lan_doc_i	Do you or your household have any kind of documentation of your rights to [PARCEL ID]?	I. Yes 2. No 996.Don't know	If Lan_doc_i != 2 OR 996 skip to Lan_use_i (D13)
D12.1	Lan_docparcel_i	Which parcels?	Record Parcel IDs	
D12.2	Lan_typdoc_i	What kind of documentation? SELECT ALL THAT APPLY.	1. GRANTED RIGHT OF OCCUPANCY 2. CERTIFICATE OF CUSTOMARY RIGHT OF OCCUPANCY (CCRO) 3. INHERITANCE LETTER 4. OTHER GOVERNMENT DOCUMENT 5. OTHER DOCUMENT OR LETTER (NON- GOVERNMENT/UNOFFICIAL)	
D12.3	Lan_docobtain_i	What yeardid you obtain the documentation for [PARCEL ID]?	Year	If land_doc_i=yes next question. 996 if unsure/don't know.
D12.4	Lan_docobtainmon_i	What monthdid you obtain the documentation for [PARCEL ID]?	Month	Enter 996 if unsure/ don't know
D12.5	Lan_docnum_i	How many people in household have their names listed on the documentation you have for [PARCEL ID]?		Enter number; If don't know, enter 996
D12.6	Lan_docwho_i	Who in the household is listed as the primary land user on the documentation for [PARCEL ID]?	 Husband Wife Jointly listed (husband/wife) Other 996.Don't know 	Refer to HH roster
D12.7	Lan_docphys_i	Do you have a personal copy of the document?	I Yes 2 No	If lan_typdoc_i == 2 (ccro) If 2 >>> D12.9

D12.8	Lan_docloc_i	Where do you store a copy of the document?	 In homestead With a nearby family member At the village center At the DLO/With the government 	If lan_typdoc_i == 2 (ccro)
D12.9	Lan_docuse_i	Have you ever had to reference the document?	I Yes 2 No	If lan_typdoc_i == 2 (ccro) If 2 >>> D13
D12.10	Lan_docusetype_i	Why did you reference the document?	 To resolve a dispute To obtain a loan To plan inheritance To prove ownership (not dispute related) As part of a rental agreement 990.Other 	Lan_docuse_i == yes
DI3	Lan_use_i	During last year's agricultural seasons, did your household farm [PARCEL ID], leave it fallow, or use it for pasture or some other non-agricultural use?	I Farmed this parcel 2 Left this parcel fallow 3 Used this parcel as pasture/other non-agricultural use	
DI4	Lan_mth_i	What was the method by which [PARCEL ID] was acquired/claimed by your household?	1) Bought it 2) Inherited 3) Started renting/sharecropping 4) Cleared it 5) Distributed by village 6) Received as gift 7) Occupied	Context
DI5	Lan_yr_i	What year did your household acquire [PARCEL ID]?		Enter 996 if don't know
DI6	Lan_dcd_i	Who primarily decides how to use [PARCEL ID]?	I=Head of household 2=Spouse 3=Both Head and spouse together 4=Other male household member 5=Other female household member 990=Other, specify	
DI7	Lan_inherp_i	Do you have an inheritance plan for your parcels?	I Yes 2 No	If no skip to lan_svy_i

D17.1	Lan_inhe_who_i	Have you discussed this plan with anyone?	l Yes	If not skip to lan_svy_i
D17.2	Lan_inhe_name	Who have you discussed this with?	2 No I Wife	
		,	2 Children	
			3 Other Family	
			4 Village leaders	
			5 Other	
DI8	Lan_svy_i	Has [PARCEL ID] ever been mapped by surveyor?	I Yes	If 2 OR 996 >>> D21
			2 No	
			996 Don't know	
DI9	Lan_yrsvy_i	What year was [PARCEL ID] mapped by surveyor?	Year	If lan_svy_i = yes
				99 if unsure/don't know.
				Skip to next section
				unless land_use_i = I
				Enter 996 if don't know
D20	Lan_mnsvy_i	What month was [PARCEL ID] mapped by surveyor?	Month	Enter 996 if don't know
D21	Lan_top_i	What is the topography of [PARCEL ID]?	I Plain	
			2 Valley	
			3 Mountain top	
			4 Mountain side	
			5 Hill	
			6 Other	
		/		
D22	Lan_soiltyp_i	What is the primary soil type of [PARCEL ID]?	(I)Clay	
			(2)Sandy	
			(3)Loam	
			(4)Other	
500			(996)Don't know	
D23	Lan_slp_i	Overall, what is the slope of [PARCEL ID]?	(I) Flat bottom	
			(2) Flat top	
			(3) Slightly sloped	
D24		I FRANCEI INT : II	(4) Very Steep	
D24	Lan_irr_i	Is [PARCEL ID] irrigated?	I Yes 2 No	
D25	Lan_restyn_i	Have you ever left [PARCEL ID] fallow?	I Yes	If 2, skip to lan_imp_i
D23	Laii_i estyii_i	Thave you ever left [I ANCEL ID] fallows:	2 No	11 2, 3KIP to IaII_IIIP_I
D25.1	Lan_rest_i	What was the most recent year in which [PARCEL	2110	Enter 996 if don't know;
		ID] was left fallow?		

D25.2	Lan_restperct_i	What portion of [PARCEL ID] was left fallow?	Enter percentage	Answer only if
D26	Lan_imp_i	For each of the following items I am going to ask about, I want to know if you have made any of the following improvements to this parcel, either in the past year or before that?		lan_restyn_i = I Need to tailor these may need to add more investments
D26.1	Lan_imp_well_i	Digging wells or pump irrigation	I In the past year 2 Before the past year 3 Both in the past year and before 4 No	
D26.2	Lan_imp_building_i	Erecting buildings	I In the past year 2 Before the past year 3 Both in the past year and before 4 No	
D26.3	Lan_imp_fence_i	Erecting fencing	I In the past year 2 Before the past year 3 Both in the past year and before 4 No	
D26.4	Lan_imp_terr_i	Terracing	I In the past year 2 Before the past year 3 Both in the past year and before 4 No	
D26.5	Lan_imp_soil_i	Soil conservation	I In the past year 2 Before the past year 3 Both in the past year and before 4 No	

E. Agricultural Production E.I Annual Crops

	Name	Question	Response options/units	Notes/instructions
		Now, I am going to ask about some of the annual crops	that you grow here.	
EI	Ann_wet_I	Which parcels did anyone in your household cultivate during the last rainy season?	[SELECT FROM LIST OF PARCELS COLLECTED ABOVE SECTION]	996 for OTHER and specify 000 for none
EI.I	Ann_dry_i	Which parcels did anyone in your household cultivate during the last dry season?	[SELECT FROM LIST OF PARCELS COLLECTED FROM ABOVE SECTION]	996 for OTHER (specify) 000 for none
E1.2	Ann_difcrop_i	How many different crops did you grow on [PLOT ID]?	Enter number	
E1.3	Ann_croprain_i	What crops were grown on [PLOT ID] during last year's rainy season?		See crop codes at the end of this document.
E1.4	Ann_cropdry_i	What crops were grown on [PLOT ID] during last year's dry season?		See crop codes
E1.5	Ann_perc_i	What percentage of [PLOT ID] is used to grow [CROP]?		
E1.6	Ann_soil_i	What did you use to till the soil on [PLOT ID]? (Select all that apply)	I Hand hoe	
			2 Animal-drawn plows	
			3 Tractors or other machinery	
			990 OTHER, specify	
E1.7	Ann_seed_i	What was the name of the main seed variety for this [CROP] on [PLOT ID]?		Enter name
E1.8	Ann_varseed_i	How many varieties of seed for this [CROP] were planted on [PLOT ID]?		Enter number
E1.9	Ann_seed_quant_i	What was the total amount of seeds used on [PLOT ID]?	Enter number	
E1.9.1	Ann seedamo i	What units were used for ann seed gaunt i?	I. KG	
		/ = =: =	2. 1 LITER CUP	
			3. 10 LITER BUCKET	
			3. TO LITER BOCKET	
			4. 20 LITER BUCKET	
			5. SMALL CUP (handful)	
			6. OTHER, SPECIFY	
E1.10	Ann seedcert i	Did you receive a voucher/certificate for any of this [SEED]?	5. 2 	
EI.II	Ann_numseed_i	What was the total amount paid for seeds (Tsh)?		
E1.12	Ann_intype_i	What type of input did you utilize during [season] on [PLOT ID]	I. Fertilizer	
		,, , , , , , , , , , , , , , , , , , , ,	2. Pesticide	
		SELECT MULTIPLE	3. Herbicide	

	Name	Question	Response	Response options/units	
			4. Fungicide 5. Other		
			6. None		
E1.13	Ann_fert_i	What type of fertilizer did you use on [PLOT ID]?	I. Di-ammoium		Answer if E1.122==1
			Phosphate (DAP)		This should only show
			2. UREA ` ´		up if ann_intype_i
			3. Triple Super		includes Fertilizer
			Phosphate (TSP)		
			4. Calcium Ammo	nium	
			Nitrate (CAN)		
			5. Sulphate of		
			Ammonium (SA)		
			6. Nitrogen Phosp	hate	
			Potassium (NPK)		
			7. Minjingu Rock		
			Phosphate (MRP)		
			8. Organic Fertiliz	er	
			9. Other		
			10. 999 N/A		
EI.14	Ann_inputkg_i	In total, what quantity of [INPUT] was used for your crops during	Quantity	Units:	For overall plots.
		[season] on all parcels?		I. KG	
				2. 1 LITER CUP	
				10 LITER	
				BUCKET	
				4. 20 LITER	
				BUCKET	
				5. SMALL CUP	
				(handful)	
				6. OTHER,	
				SPECIFY	
E1.15	Ann_inputcost_i	In total, how much did you pay for the [INPUT] during [season]?	TZ shillings	JI LCII I	
E1.16	Ann_rent_i	In the [season] did you rent farm equipment (tractors, combine,	I Yes		If 2 >>> E1.18
		plough, bullock etc)?	2 No		
E1.17	Ann_rentpay_i	In total, how much did you pay for the rented farm equipment during [season]?	TZ shillings		

	Name	Question	Response options/units	Notes/instructions
E1.18	Ann_irr_i	In [season], did your household spend money on irrigation (including electricity, diesel, pumpset rental, maintenance, repair of irrigation channels etc.) for all/any crops?	I Yes 2 No	If 2 >>> E1.20
E1.19	Ann_irrcost_i	In total, how much did you spend on irrigation during [season]?	TZ shillings	
E1.20	Ann_labyn_i	Did you use hired labor during [season]?	I Yes 2 No	
E1.20.1	Ann_labor_i	In total, how much did you spend on hired farm labor during [season]?	TZ shillings	
E1.21	Ann_laborday_i	Beyond the household labor and other hired labor already discussed, approximately how many days of shared/cooperative/community labor were used in total for all crops during [season]?		Days would be full working days, i.e. during day light hours.
E1.22	Ann_harv_i	During [season] how much [CROP] did your household harvest in total across all plots of land?	Record _quantity :	
E1.22.1	Ann_harv_i	What units were used to record harvest for ann_harv_i?	 KG Large Bag (100 KG) Small Bag (50 KG) 20 Liter Bucket I0 Liter Bucket Crate Other (Specify) 	if KG used, skip to Ann_cons_i
E1.23	Ann_harvkg_i	During [season] how much [CROP] in KG did your household harvest in total across all plots of land?	Record in KG if Ann_harv_i not reported in KG	
E1.25	Ann_consquant_i	What quantity of the [CROP] harvested during [season] has been consumed by members of your household?	I. 2. Enter quantity	
E1.25.1	Ann_consunit_i	What units were used to record ann_conskg_i	 KG Large Bag (100 KG) Small Bag (50 KG) 20 Liter Bucket I0 Liter Bucket Crate Other (Specify) 	
E1.26	Ann_soldquant_i	What quantity of [CROP] harvested during [season] was sold at the marketplace (to any outlet)?	Enter quantity	
E1.26.1	Ann_sold_i	What units were used to record ann_soldquant_i?	I. KG 2. Large Bag (100 KG) 3. Small Bag (50 KG)	

	Name	Question	Response options/units	Notes/instructions
			4. 20 Liter Bucket	
			5. 10 Liter Bucket	
			6. Crate	
			7. Cart	
			8. Other (Specify)	
E1.27	Ann_soldkg_i	What quantity of the [CROP] harvested during [season] was sold at	Record in KG	
		the marketplace (to any outlet) in KG?	,	
E1.28	Ann_earn_i	How much did you receive in total for [CROP] sold at the	TZ Shillings	
		marketplace (to an agribusiness center or any other outlet)?	/	

E.2 Perennial Crops

	Name	Question	Response options/units	Notes/instructions
		Thank you. Now, I want to ask you about perennial crops that you grow.		
E2.1	Pere_crop_num	How many different varieties of fruit trees and permanent crops do you grow on [PLOT ID]?	Enter number	
E2.1.1	Pere_crops	Please tell me all of the fruit trees and permanent crops that you grow on [PLOT ID]		List all fruit trees and permanent crops. These questions are asked for each fruit and permanent crop.
E2.1.2	Pere_cropcount	How many of these plants/trees are on [PLOT ID]?		Type=Fruit or Permanent Crop
E2.1.3	Pere_yearplant	When were most of these [CROP] planted on [PLOT ID]?	Month/Year	
E2.1.4	Pere_plants	How many trees/plants were planted on [PLOT ID] during the last 12 months?	#	
E2.6	Pere_trees	In the past 12 months, how many non-fruit trees did you plant on any of your plots?	#	
E2.6.1	Pere_treeuse	What do you plan to use these trees for?	Wood Timber/Lumber Erosion control Border demarcation 990.Other	If Pere_trees is not 0, if Other record response
E2.7	Pere_intercrop	Was cultivation intercropped during the past long rainy season?	I Yes 2 No	Skip to pere_prod_i if No
E2.7.1	Pere_interseason	What was the reason for intercropping?	I More fertile for the soil Substitute if either crop fails To get the most out of my land	

	Name	Question	Response options/units	Notes/instructions
			4 Other	
E2.8	Pere_prod_i	What was the last harvest for the [CROP]?	Month/year	
E2.9	Pere_dec_i	Who in the household made the decisions concerning the use of [CROP] harvested in the past 12 months?	Select from list	
E2.10	Pere_amount_i	What was the total amount of [CROP] harvested in the past 12 months?	Enter quantity	
E2.101	Pere_amountunit_i	What units were used to record the amount in pere_amount_i?	 KG Large Bag (100 KG) Small Bag (50 KG) 20 Liter Bucket I0 Liter Bucket Crate Other (Specify) 	
E2.11	Pere_sell_i	Did you sell any of the [CROP] collected?	I Yes 2 No	If 2 >>> Next Section.
E2.11.1	Pere_quant_i	What was the total quantity sold?	Enter quantity	
E2.11.12	Pere_quantunit_i	What units were used to record the amount in pere_quant_i	 KG Large Bag (100 KG) Small Bag (50 KG) 20 Liter Bucket I0 Liter Bucket Crate 990.Other (Specify) 	
E2.11.2	Pere_value_i	What was the total value of [CROP] sold?	TZ Shillings	
E2.11.3	Pere_nego_i	Who in your household was responsible for negotiating the sale of the [CROP]?	Answer type/code	
E2.11.4	Pere_earnuse_i	Who in your household decided what to do with these earnings?	Answer type/code	
E2.11.5	Pere_locsell_i	Where did you sell most of the [CROP]?	Select all that apply: I purchased wholesale by a middleman 2purchased wholesale by a processor 3sold in the market directly 4 sold to a neighbor 5 Other	

Crops Codes

Cereals/tubers/roots:	Fruits:	Vegetables:	Cash Crops:	Permanent Cash
MaizeI I	Passion Fruit70	Cabbage86	Cotton50	crops:
Paddy12	Banana71	Tomatoes87	Tobacco51	Sisal53

		10:100		10 %
Sorghum13	Avocado72	Spinach88	Pyrethrum52	Coffee54
Bulrush Millet14	Mango73	Carrot89	Jute62	Tea55
Finger Millet I 5	Papaw74	Chilies90	Seaweed19	Cocoa56
Wheat16	Orange76	Amaranths91		Rubber57
Barley17	Grapefruit77	Pumpkins92		Wattle58
Cassava21	Grapes78	Cucumber93		Kapok59
Sweet Potatoes22	Mandarin79	Egg Plant94		sugar Cane60
Irish potatoes23	Guava80	Water Mellon95		Cardamom61
Yams24	Plums81	Cauliflower96		Tamarind63
Cocoyams25	Apples82	Okra100		Cinnamon64
Onions26	Pears83	Fiwi101		Nutmeg65
Ginger27	Peaches84			Clove66
	Lime85 I			Black Pepper18
Legumes, Oil & fruit:	Lemon852		/	Pigeon pea34
Beans31	Pomelo68			Cassava21
Cowpeas32	Jack fruit69			Pineapple75
Green gram33	Durian97			Palm Oil44
Chick peas35	Bilimbi98			Coconut45
Bambara nuts36	Rambutan99			Cashew nut46
Field peas37	Bread fruit67			Green Tomato300
Sunflower41	Malay apple38			Monkeybread301
Sesame42	Star fruit39			Bamboo302
Groundnut43	Custard Apple200			Firewood/fodder303
Soyabeans47	God Fruit201			Timber304
Caster seed48	Mitobo202			Medicinal plant305
	Plum203			"Fence tree"306
	Peaches204			other990
	Pomegranate205			
	Date210			
	Tungamaa211			
	Vanilla212			
			ı .	1

F. Perceptions of land rights

	Name	Question	Response options/units	Notes/instructions
	like to ask you about some is n nearby villages (or plots yo	ssues around land in this village. I only want to talk about parcels here (in this village), u may have elsewhere).		Leave out mention of parcels in other villages if it is not relevant.
FI	Per_takepos	In the next five years, do you think it's possible that someone could try to take one of your parcels from you without your permission?	I Yes 2 No 996Don't know	If 2 OR 996 >>> F6
F2	Per_expro	How likely do think it is that someone would try to take one of your parcels from you in the next 5 years?	I Possible but unlikely 2 Somewhat likely 3 Very likely/it is happening now	If per_takepos = yes
F3	Per_parcel_i	Which parcels do you feel are at risk?	Run through list of parcels	If per_expro != I
F4	Per_source_i	Who do you think would try to take your parcels?	Government Foreign investor Tanzanian investor (from outside the village) Someone inside the village Absentee owner/land claimants Extended family Other	If per_expro != I
F5	Per_reason	Which if any of the following are reasons why you think this could happen? Please rank from the most important reason to the least important reason 1. Ongoing or past disputes or expropriation 2. Lack of documents 3. Length of agreement (if lease agreement for example) 4. Problems experienced by others in the community	Enter rank order. If one or more options are not relevant, ask for top rank and then determine which seem the least irrelevant of the	If per_takepos = yes

			I to the second	T
			irrelevant options	
			and work from	
			there.	
F6	Per_changepos	Compared to one year ago, do you think the possibility that someone could try	I Increased 2	
		to take one of your parcels has increased, decreased, or stayed the same?	Decreased 3 Stayed	
			the same	
F7	Per_comworry	In general, how many people in your community are worried that someone	I None or very few	
		might try to take their land against their will?	2 Some are	
			worried but most	
			are not 3 Most are	
			worried but not all	
			4 All or nearly all	
			are worried	
F8	Per_borpos	Do you think it's possible that you could have a dispute over the borders of one	l Yes	If 2 >>> F10
		of your parcels with a neighbor in the next 5 years?	2 No	
F9	Per_disputeprob	How likely do think it is that you could have a dispute over the borders of one	I Possible,	If per_borpos = yes
		of your parcels with a neighbor in the next 5 years?	butunlikely 2	. –
		, ,	Somewhat likely 3	
			Very likely/it is	
			happening now	
FI0	Per_reasonwhy	Which if any of the following are reasons why you don't think this is possible?	Select all that apply.	If per takepos = no
	_ ,	My family has owned/used the parcel for a long time	,	. – .
		Lack of problems in the past		
		Land has been surveyed		
		HH has documentation of rights		
		Village Council/Elders/Leaders can easily address potential disputes		
		Village Council/Elders/Leaders carriessily address potential disputes		
FII	Per_dispute_change	Compared to one year ago, do you think the possibility that you could have a	I Increased 2	
	r er _dispute_criange	boundary dispute with your neighbors has increased, decreased, or stayed the	Decreased 3 Stayed	
		same?	the same	
FI2	Per_dispute_type_i	Over the past 5 years, how big of a problem have each of the following types of	I Not a problem at	Ask for each kind of dispute
FIZ	rer_dispute_type_i	disputes about land been in your community?	all 2 A small	Ask for each kind of dispute
		· · · · · · · · · · · · · · · · · · ·	problem 3 A big	
		Family disputes		
		Disputes with investors	problem	
		Disputes with others (non-family) claiming land		
		Boundary disputes between neighbors		
		 Disputes about land rentals/sharecropping agreements 		
		Disputes over grazing		

FI3	Per_prob_change	Over the past year, would you say problems with land disputes have improved,	I Improved 2	
	. cp. co_ca8c	stayed the same, or gotten worse?	Stayed the same 3	
		333/32 3.1.3 33.1.1.5, 51 853331 1101331	Gotten worse	
FI4	Per_future	In the next 12 months, do you expect problems with land disputes will improve,	I Improved 2	
		stay the same, or get worse?	Stayed the same 3	
		out the sums, or got hereof	Gotten worse	
FI5	Per_coma	Do you use communal pasture land?	I Yes	If 2 >>> F17
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2 No	·
FI6	Per_coml	Do you think it is possible that you will lose your existing rights on communal	I Yes	Anser if per_coma=Yes
	_	pasture land in the next 12 months?	2 No	If 2 OR 996 >>> F17
			996Don't know	
F16.1	Per_coml_why	How likely do you think it is that you would lose your existing rights on	I Highly likely	If per_coml = Yes
		communal pasture land in the next 12 months	2 Somewhat likely	. –
			3 Possible but	
			unlikely	
F16.2	Per_comr	Why do you think you will lose your existing rights on communal pasture land	I = Local farmers	Answer if per_coml=Yes
		in the future?	encroaching onto	-
			communal land or	
			access routes.	
			2= Village will	
			decide to allocate	
			the land for other	
			uses.	
			3= The	
			government will	
			allocate the	
			communal land to	
			an investor	
			990= Other (please	
			specify)	
FI7	Per_fallow	How much of a risk is there that someone will take over one of your plots if	I Very high risk 2	
		you leave it fallow?	Somewhat risky 3	
			No risk 4 Unsure	
FI8	Per_inheritforce	In general, do you feel that your plans for land inheritance will be enforced?	I Yes 2 No	
			996Don't	
			know/unsure	
FI9	Per_landlaw	How well do you understand the official land laws?	I Very well 2	
			Familiar but don't	
			know the details 3	

			Familiar with some	
			rules but don't	
			know if they are	
			official law 4	
			Unsure	
F20	Per_CCRO	Have you heard of CCROs?	I Yes	If 2 >>>Per_LTA. DO NOT
	_		2 No	PROMPT IF RESPONDENT
				HAS NOT HEARD OF
				CCROs.
F20.1	Per_payCCRO	In general, how much (if anything) would you be willing to pay to have one of		
		your parcels surveyed and to receive a CCRO?		
F21	Per_LTA	Have you heard of LTA?	I Yes	If 2 >>> Next section. DO
	_		2 No	NOT PROMPT IF
				RESPONDENT HAS NOT
				HEAR OF LTA!
F21.1	Per_LTAvisit	Did LTA visit your parcel in the past 2 years?	l Yes	If 2 >>> Next section
	_		2 No	
F21.2	Per_LTArec	Which of the following did you receive through LTA?	Land was	If Per_LTAvisit= yes
	_		surveyed	_ ,
		MARK ALL THAT APPLY	• CCRO	
		/	Notarized	
			title	
			None of	
			the above	
F21.3	Per LTAtime	When did LTA visit your parcel?	Month/Year	If Per_LTAvisit = yes
F21.4	Per_LTAmap	When did [Per_LTArec_response] take place?	Month/Year	Based on Per_LTArec
F21.5	Per LTAmap	How long did the LTA process take?	Enter days	Based OfficeLTATEC
F21.5	Per_LTAprocess	Did you encounter any issues during the LTA process	I Yes	If per_LTAvisit = yes
Γ21.0	Lei Trybron	Did you encounter any issues during the LTA process	2 No	If 2 >>> F21.8
F21.7	Per_LTAprobtype	What kind of issues did you encounter?	+	If Per_LTAprob = yes
FZ1./	rer_L1Aprobtype	vynat kind of issues aid you encounter:	Issue related to existing land	If Per_LTAprob - yes
			dispute	
			2. Issue related to	
			new dispute	
			caused by	
			mapping	
			3. Missed	
			deadline	
			4. Other	

F21.8	Per_CCRO	How much time passed between mapping and receipt of your CCRO?	Enter months	If per_LTArec = CCRO
F21.9	Per_LTAimpr	What was your impression of LTA?	I Very positive 2	If Per_LTA = yes
			Somewhat positive	
			3 Neutral 4	
			Somewhat negative	
			5 Very negative	
F21.10	Per_docyben	Do you believe that having documentation of your land rights through LTA	I Yes	
		benefits your household?	2 No	
			996Don't know	
F21.11	Per_LTAcom	What are the benefits to LTA in your village?	 Protects 	If per_docyben = yes
		ALL THAT APPLY	against	
		ALL THAT APPLY	losing land	
			 Protects 	
			against	
			disputes	
			with	
			neighbors Makan it	
			Makes it easier to	
			rent out	
			Makes it	
			easier to	
			sell	
			Will make	
			inheritance	
			easier	
			Other	

G. Land disputes

	Name	Question	Response options/units	Notes/instructions
		es disputes around land in the village. As a reminder, we are not going to sh		
		our responses will not affect whether this village receives services or not. W		
GI	Dis_dis	In the past year, has anyone in your household been involved in any dispute	l Yes	If 2 >>> Next section
		or argument about land- for example, about who owns or has rights to a	2 No	
61.1	5: 1:	parcel, boundaries of parcels, or inheritance of land?		
GI.I	Dis_disnum	How many disputes?	#	
G1.2		Which household member had [DISPUTE ID]? SELECT ALL RELEVANT	All hh members > 15, include "the	Repeat questions
	Dis_mem_j	HH MEMBERS.	whole household" as an option	indexed _j for each of j disputes
G1.3	Dis_own_j	Does the household currently use the parcel over which [DISPUTE ID]	I Yes	
		occurred?	2 No	
G1.4	Dis_nme_j	What is the name of the parcel on which [DISPUTE ID] occurred? SELECT ALL THAT APPLY.	Parcel names from section D	If yes to previous
G1.5	Dis_type_j	What was [DISPUTE ID] related to? Select all that apply.	I Land that the household owned	lf
			or was using	I >>> GI.6
			2 The household trying to acquire	2 >>> GI.7
			new land	3 >>> GI.8
			3 Land rented from the household	4 >>> GI.9
			4 Land rented by the household	5 >>> G1.10
			5 Inheritance	6 >>> GI.II
			6 Grazing 7 Other	
GI.6	Die deest i	Which of the following best describes [DISPUTE ID]?	I Someone who lives in the area	
G1.6	Dis_desct I_j	which of the following best describes [DISPOTE ID]:	tried to take the household's land	If dis_type_j = I
			2 Someone from outside the area	
			tried to take the household's land	
			3 Boundary dispute with neighbor	
			4 Government tried to take the	
			land or stop the household from	
			using it	
G1.7	Dis desct2 j	Which of the following best describes [DISPUTE ID]?	I The household	If dis_type_j = 2
			bought/claimed/requested some	
			new land, but someone else	
			claimed to be the owner	
			2 The household did not buy the	
			land but wanted land that	
			someone else was using	

			3 None of the above	
GI.8	Dis_desct3_j	Which of the following best describes [DISPUTE ID]?	I Payment of rent/crops	If dis_type_j = 3
			2 Length of rental agreement	_,, _
			3 Renter tried to claim ownership	
			4 Other	
G1.9	Dis_desct4_j	Which of the following best describes [DISPUTE ID]?	I Payment of rent/crops 2 Length	If dis_type_j = 4
			of rental agreement 3	
			Disagreement over ownership 4	
			Other	
G1.10	Dis_desct5_j	Which of the following best describes [DISPUTE ID]?	I Disagreement with	If dis_type_j = 5
			brothers/sisters over parents' land	Need to tailor this
			2 Widow/widower whose land is	one
			being claimed by spouse's relatives	
			3 Other	
GI.II	Dis_desct6_j	Which of the following best describes [DISPUTE ID]?	I Disagreement with pastoralists	If dis_type_i=6
			over grazing on land 2	
			Disagreement with non-	
			pastoralists from the village over	
			grazing on land 3 Disagreement	
			with non-pastoralists from outside	
			the village over grazing on land 3	
			Other	
G2	Dis_desct7_i	Describe [DISPUTE ID]	Write response	If dis_type_i= 7
G3	Dis_yr_j	In what year did [DISPUTE ID] begin?		
G4		How long did [DISPUTE ID] last?	Months	
G5	Dis_serious_j	Overall, how serious was [DISPUTE ID]?	I Very serious 2 Somewhat	Guidance: "serious"
		· ·	serious 3 Not serious	here means that it
				disrupted or altered
				normal life activities.
G6	Dis_mny_j	Did you lose money because of [DISPUTE ID]?	I Yes, a little (less than TZS	
			10,000)	
			2 Yes, a lot (more than TZS	
			10,000)	
			3 No	
G7	Dis_safe_j	Did [DISPUTE ID] make you worried about your safety?	I Yes, a lot 2 Yes, a little 3 No	
G8	Dis_resolved_j	Was [DISPUTE ID] resolved?	I Yes	If 2 >>> G9
			2 No	

G8.1	Dis_who_resolved_j	Who resolved [DISPUTE ID]?	I We resolved it amongst	If yes to
			ourselves 2 Others in the	dis_resolved_j Need
			community 3 The Village Council 4	to tailor
			District Courts 6 District Officials	
			7 Village land use	
			committee	
			8 Ward land use	
			committee	
			9 Other	
G8.2	Dis_satis_j	How satisfied were you with how [DISPUTE ID] was resolved?	I Very satisfied 2 Somewhat	If yes to
			satisfied 3 Not satisfied	dis_resolved_j
G9		How likely is it that you will have another dispute like [DISPUTE ID]?	I Very likely 2 Somewhat likely 3	
		·	Not likely 4 Unsure	

H.	H. Non-Agricultural Income, Consumption, and Assets				
	Name	Question	Response options/units	Notes/instructions	
HI	Inc_own	Does your household currently own any of the following items in good working condition: [READ EACH OPTION OUT LOUD AND MARK IF ANSWER "YES" or 'NO'			
HI.I	Inc_own_radio	Radio or Radio Cassette	I Yes 2 No		
H1.2	Inc_own_mobile	Telephone(mobile)	I Yes 2 No		
H1.3	Inc_own_sewm	Sewing Machine	I Yes 2 No		
HI.4	Inc_own_tv	Television	I Yes 2 No		
H1.5	Inc_own_dvd	Video / DVD	I Yes 2 No		
H1.6	Inc_own_lanterns	• Lanterns	I Yes 2 No		
HI.7	Inc_own_otherstove	Stove	I Yes 2 No		
H1.8	Inc_own_bicycle	Bicycle	I Yes 2 No		
H1.9	Inc_own_watches	• Watches	I Yes 2 No		
H1.10	Inc_own_mnets	Mosquito net	I Yes 2 No		

HI.II	Inc_own_iron		I Yes	
		 Iron (Charcoal or electric) 	2 No	
H1.12	Inc_own_fanair		I Yes	
		Fan/Air conditioner	2 No	
HI.13	Inc_own_fields		I Yes	
		Fields/Land	2 No	
HI.14	Inc_own_solar		l Yes	
		Solar panel	2 No	
H1.15	Inc_own_house		I Yes	
		 Houses/housing addition 	2 No	
HI.16	Inc_own_poultry		/ Yes	
		Poultry	2 No	
HI.17	Inc_own_livestock		I Yes	
		Livestock	2 No	
HI.18	Inc_own_other	Other	I Yes	
		- Strict	2 No	
HI.II	Inc_own_radio_num		Quantity	If Inc_own_radio =
		Radio or Radio Cassette		yes
HI.2I	Inc_own_mobile_num		Quantity	If inc_own_mobile =
		Telephone(mobile)		yes
HI.31	Inc_own_sewm_num		Quantity	If own_sewm_num =
		Sewing Machine		yes
HI.4I	lnc_own_tv_num	Television	Quantity	If inc_own_tv = yes
HI.51	Inc_own_dvd_num	Video / DVD	Quantity	If inc_own_dvd = yes
HI.61	Inc_own_lanterns_num		Quantity	lf
		 Lanterns 		inc_own_lanterns=yes
HI.7I	Inc_own_stove_num		Quantity	If inc_own_stove =
		• Stove		yes
HI.8I	Inc_own_bicycle_num		Quantity	If inc_own_bicycle =
		Bicycle		yes
HI.91	Inc_own_watches_num		Quantity	If inc_own_watches =
		 Watches 		yes
HI.101	Inc_own_mnets_num		Quantity	If inc_own_mnets =
		Mosquito net		yes
HI.III	Inc_own_iron_num	Iron (Charcoal or electric)	Quantity	If inc_own_iron = yes
H1.121	Inc_own_fanair_num		Quantity	If inc_own_fanfair =
		Fan/Air conditioner	,	yes
HI.131	Inc_own_fields_num		Quantity	If inc_own_fields =
		 Fields/Land 		yes

HI.141	Inc_own_solar_num	Solar panel	Quantity	If inc_own_solar = yes
HI.151	Inc_own_house_num	Houses/housing addition	Quantity	If inc_own_house = yes
HI.161	Inc_own_poultry_num	Poultry	Quantity	If inc_own_poulty = yes
HI.171	Inc_own_livestock_num	 Livestock 	Quantity	If inc_own_livestock= yes
HI.181	Inc_own_other_num	Other	Quantity by specified item	If inc_own_other = yes
H2	Inc_own_ani	Which of the following animals are owned by the household?	 Cows, oxens and bulls Horses, donkeys and mules Pigs Goats Sheep Poultry Other None 	
Н3	Inc_hwalls	What is the major construction material of the walls of the main dwelling?	1. POLES (INCLUDING BAMBOO), BRANCHES, GRASS) 2. POLES AND MUD/MUD AND STONES 3. MUD ONLY 4. MUD BRICKS 5. BAKED/BURNT BRICKS 6. CONCRETE, CEMENT, STONES 990. OTHER, SPECIFY	Enumerator should directly observe to confirm response.
H4	Inc_hroof	What is the major construction material of the main roof?	I. GRASS, LEAVES, BAMBOO MUD AND GRASS CONCRETE, CEMENT	

H5	Inc_act_n	Other than working on the household plots, did [NAME] do anything else to earn money including work for pay, work in business for (him/herself), work in a family business, making things to sell, casual labor, odd jobs, or any other activity to earn money, during the last 12 months?	4. METAL SHEETS (GCI) 5. ASBESTOS SHEETS 6. TILES 7. OTHER, SPECIFY I Yes 2 No	Ask for each hh member older than 15 If 2 >>> H6
H5.1	Inc_jobtype_n	In this work, was [NAME] working for:	I. Work for non-household member/firm/ company 2. "non-farm on own account/ household enterprise" 3. Farm owned or rented by household member	If Inc_act_n== Yes
H5.2	Inc_occtype_n	What activity did [NAME] do?	 FISHING MINING TOURISM GOVERNMENT OFFICE PARASTATAL PRIVATE SECTOR NGO / RELIGIOUS SELF-EMPLOYED (NOT AGRICULTURE): WITH EMPLOYEES SELF-EMPLOYED (NOT AGRICULTURE): WOT 	

			IO. UNPAI HOUSE LABOU	HOLD	
H5.3	Inc_months	During the last 12 months, for how many months did [NAME] work in their job?	Enter mont	hs	
H5.4	Inc_hours	During the last 12 months, how many hours did [NAME] usually work in this job each day?	Enter hours	3	
H5.5	Inc_paid	Was [NAME] being paid in this job?	I Yes 2 No		
H5.5.1	Inc_period_n		Amount (TZS)	Period of payment	
		How much was [NAME] being paid?		I Month 2 Fortnight 3 Week 4 Day 5 Other	
H6	Inc_inc	For each of the following, can you tell me if anyone in your household earned income from this source in the past 12 months? READ EACH OPTION OUT LOUD AND MARK IF ANSWER IS "YES"	I Yes 2 No		
H6.1	Inc_inc_wage	Wage and/or self-employment income	I Yes 2 No		
H6.2	Inc_inc_rent	Rental of land / property	I Yes 2 No		
H6.3	Inc_inc_equip	Rental of farm equipment / animals	I Yes 2 No		
H6.4	Inc_inc_saleanim	Sale of livestock	I Yes 2 No		
H6.5	Inc_inc_animprod	Revenue from livestock products	I Yes 2 No		
H6.6	Inc_inc_asset	Sale of household assets	I Yes 2 No		
H6.7	Inc_inc_remit	Remittances from family outside the household, friends or others	I Yes 2 No		
H6.8	Inc_inc_ssnit	Social Security National Insurance Trust, or SSNIT	I Yes 2 No		

H6.9	Inc_inc_pension	• Private pensions or other retirement payments	I Yes	
		Private pensions or other retirement payments	2 No	
H6.10	Inc_inc_govt	 Social assistance payments from the government 	I Yes	
		(i.e., scholarships, disability payments, etc.)	2 No	
H6.11	Inc_inc_ngo	Social assistance from aid programs, churches,	I Yes	
		NGOs, or other organizations	2 No	
H7	Inc_earn	For each of the following YES responses in H6, can you tell	Amount in TZS	
		me how much anyone in your household earned from this		
		source?		
H7.1	Inc_earn_wage	Wage and/or self-employment income		If H6.1 == 1
H7.2	Inc_ earn _rent	Rental of land / property		If H6.2 == I
H7.3	Inc_ earn _equip	Rental of farm equipment / animals		If H6.3 == I
H7.4	Inc_ earn _saleanim	Sale of livestock		If H6.4 == I
H7.5	Inc_ earn _animprod	Revenue from livestock products		If H6.5 == I
H7.6	Inc_ earn _asset	Sale of household assets		If H6.6 == I
H7.7	Inc_ earn _remit	Remittances from family outside the household,		If H6.7 == I
		friends or others		
H7.8	Inc_ earn _ssnit	Social Security National Insurance Trust, or SSNIT		If H6.8 == I
H7.9	Inc_ earn _pension	Private pensions or other retirement payments		If H6.9 == I
H7.10	Inc_ earn _govt	Social assistance payments from the government		If H6.10 == 1
		(i.e., scholarships, disability payments, etc.)		
H7.11	Inc_ earn _ngo	Social assistance from aid programs, churches,		If H6.11 == 1
		NGOs, or other organizations		

Household Savings, Borrowing, and Shocks Name Ouestion Response options/units Notes/instructions Thank you. I would like to ask a few questions now about how your household manages expenses. In the past six months, has anyone in your household borrowed I Yes If 2 >>> 13 Ш Fin credsource money? 2 No II.I Who did they borrow from? I. COMMERCIAL If fin_credsource = yes Fin_credfrom **BANKS** 2. MICRO-FINANCE **INST** 3. VILLAGE CÓMMUNITY BANK (VICOBA) 4. NEIGHBOURS / **FRIENDS** 5. FAMILY 6. NGO OR SELF-HELP **GROUPS** 7. OTHER INFORMAL MONEY LENDER 8. OTHER, SPECIFY 12 Fin amtbrrw In total, approximately how much has your household borrowed in the If yes to "has your TZ shillings household borrowed" past 1.5 years? If you wanted to get a loan of to cover your expenses or buy farm 13 I Yes Fin wntloan inputs, do you think you or anyone in your household would be able to 2 No 996 Don't know do that? Fin bankacct 14 Do you or anyone else in your household have a bank account, either I Yes If yes or maybe to with a commercial bank, a credit union, or other similar institution? 2 No previous 996 Don't know If 2 OR 996 >>> 16 Please list up to 3 institutions with whom you or a member of your 15 Fin bankname Enter name If Fin bankacct = yes household has a savings account. 998 Can't recall / remember If 998 >>> 16 What year did you open the account? If Fin bankacct=yes 15.1 Fin bankyear Enter year 998 if can't recall What month did you open the account? 15.2 Fin bankmonth Enter month If Fin bankacct = yes 998 Can't recall / remember Did your household experience any unusual problems during the past If 2 OR 996, skip to next I Yes 16 Fin shock year that affected your HH's ability to eat or changed what your 2 No section. household owned? 996Don't know

17	Fin_typshock	Please select the first and second events that had the biggest impact on	I DROUGHT/BAD	If yes to previous
		your household in the past 12 months.	RAINFALL	Select top two.
			2 FLOODS	
			3 LANDSLIDES &	
			MUDSLIDES	
			4 CROP PESTS & DISEASE	
			5 LIVESTOCK DISEASES	
			6 HIGH COST OF SEED,	
			FERTILIZER	
			7 JOB LOSS FOR A HH	
			MEMBER	
			8 SERIOUS ILLNESS,	
			ACCIDENT, OR DEATH	
		/	OF HH MEMBER	
			9 INSECURITY/VIOLENCE	
			990OTHER, SPECIFY	

Food Security Name Question **Response options/units Notes/instructions** In this next set of questions, I want to ask about your food situation. Thank you. In the last 12 months, have you been faced with a situation Ш Fd season I Yes If 2 >>> |2 when you did not have enough food to feed the household? 2 No Fd seasonday For how long did you face this situation? Enter days.]1.1 During the past 12 months, did you worry that your household 0 No (it did not happen) I Rarely Fd worry 12 would not have enough food? (once or twice) 2 Sometimes (three to ten times) 3 Often (more than 10 times) 0 No (it did not happen) I Rarely During the past 12 months, did it happen that you or someone (Note emphasis on KINDS]3 Fd kinds in your household were not able to eat the kinds of foods you (once or twice) 2 Sometimes of foods) would have preferred to eat because of lack of resources? (three to ten times) 3 Often (more than 10 times) 0 No (it did not happen) I Rarely During the past 12 months, did it happen that you or any other J4 Fd fewml (once or twice) 2 Sometimes household member had to eat fewer meals in a day because (three to ten times) 3 Often (more there was not enough food? than 10 times) 0 No (it did not happen) I Rarely]5 Fd nofood During the past 12 months, did it happen that there was no food to eat of any kind in your house, because of lack of (once or twice) 2 Sometimes resources to get food? (three to ten times) 3 Often (more than 10 times)

Fd_bed	During the past 12 months, did it happen that you or any	0 No (it did not happen) 1 Rarely
	household member went to sleep at night hungry because there	(once or twice) 2 Sometimes
	was not enough food?	(three to ten times) 3 Often (more
		than 10 times)

	K. Self Efficacy						
	Name	Question	Response options/units	Notes/instructions			
	Thank ye	ou. Now I am going to read out some statements to you; please tell	me how true each of the statements	is about you.			
KI	Eff_solve	I can always manage to solve my problems if I try hard enough	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				
K2	Eff_opp	If someone opposes me, I can find the means and ways to get what I	I not at all true;2 hardly true;3				
		want	moderately true;4 exactly true				
K3	Eff_acco	I am certain I can accomplish my goals	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				
K4	Eff_shocks	I am confident that I could deal effectively with unexpected events	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				
K5	Ef_resour	Thanks to my resourcefulness, I can handle unforeseen situations	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				
K6	Eff_effort	I can solve most problems if I invest the necessary effort	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				
K7	Eff_calm	I can remain calm when facing difficulties because I can rely on my	I not at all true;2 hardly true;3				
		strength to cope	moderately true;4 exactly true				
K8	Eff_alter	When I am confronted with a problem, I always look for an	I not at all true;2 hardly true;3				
		alternative solution	moderately true;4 exactly true				
K9	Eff_troub	If I am in trouble, I can think of a good solution	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				
KI0	Eff_hnd	I can handle whatever comes my way	I not at all true;2 hardly true;3				
			moderately true;4 exactly true				

Skip to section M after this Module for male head of households. Skip to section L.A Time Allocation after this Module for female head of households.

L. V	Wives/Partners Survey			
	Name	Question	Response options/units	Notes/instructions
Thank you	ı for agreeing to answer a f	few of our questions. We are going to start with some		
LI	wives_consent	Did the respondent consent?	I Yes	If 2 >>> End Interview
			2 No	
L2	wives_wmarried	What is your marital status	I=Monogamously married	
			2=Polygamously married	
L3	wives_wage)	What is your age?	years	
L4	wives_wreligion	What is your religion, if any?	I. Christian (Protestant)	
			2. Christian (Catholic)	
			3. Muslim	
			4. None	
<u> </u>			5. Other	
L5	wives_wed	What is the highest level of education you have	PRIMARY	
		attained?	PIII	
			P212	
			P313	
			P414 P515	
			P616	
			P717	
			FORM	
			F121	
			F222	
			F323	
			F424 'O'+COURSE.25	
			F531	
			F632 'A'+COURSE.33	
			DIPLOMA34	
			UI4I	
			U242	
			U343	
			U444	
			U5&+45	
L6	wives_wborn	Were you born in this village?	I Yes	If I >>> L9
			2 No	
L7	wives_wborndist	Where is the village where you were born?		

L8	wives_wyrslive	How many years have you lived in this village?		
L9	Wives_looshus	In the next 5 years, how worried would you be about losing your land if your husband died?	I Very Worried 2 Somewhat Worried 3 Not worried at all 996 DK 997 Refused to answer	
LIO	wives_takeextfam	In the next 5 years, how likely is it that someone from within your extended family will take over the use of this field without your HH's permission/agreement?	I=Very Likely 2=Likely 3=Neutral 4=Somewhat unlikely 5=Very unlikely 996=Don't know 997=Prefer not to reply	
Now I	l'd like to ask you some ques	tions about your participation in certain types of work household life	activities and on making decisions	s on various aspects of
LII	wives_part	Did you yourself participate in [ACTIVITY] in the past 12 months (that is, during the last [one/two] cropping seasons), from [PRESENT MONTH] last year to [PRESENT MONTH] this year? A) Food crop farming B) Cash crop farming C) Livestock raising D) Non-farm economic activities. E) Wage and Salary employment F) Fishing or fishpond culture G) Major hh expenditures H) Minor hh expenditures	l Yes 2 No	If emp_part==No -> skip to next activity. Activity:
LI2	wives_decision	When decisions are made regarding [ACTIVITY], who is it that normally takes the decision?	 Self Spouse Both spouse and self (joint decision making) Other HH member Other Non-HH member 999. N/A 	If emp_decision==1, skip to next activity. No response needed if activity==G or H.
LI3	Wives_decisionfreq	When decisions are made regarding [ACTIVITY], how often does the decision maker inform you about the decision?	I Always 2 Sometimes 3 Rarely 4 Never 5 Unsure	If emp_decision != I answer this

LI4	wives_input	How much input did you have in making decisions about [ACTIVITY] in the past 12 months?	I. No input or input in few decisions, 2. Input into some decisions, 3. Input into most or all decisions, 98. No decision made/Not sure	If emp_input==98, skip to next activity
LI5	emp_extent	To what extent do you feel you can make your own personal decisions regarding [ACTIVITY] if you want(ed) to?	I. Not at all, 2. Small extent, 3. Medium Extent, 4. To a high extent.	
LI6	emp_use_inc	How much input did you have in decisions on the use of income generated from [ACTIVITY]	I. No input or input in few decisions, 2. Input into some decisions, 3. Input into most or all decisions, 98. No decision made/Not Sure	No response needed if activity==G or H.
LI7	Wives_landlaw	Do you know about the national land laws?	I Yes 2 Yes, but don't know the details 3 No	
LI8	Wives_hearing	How confident are you that you would receive a fair hearing if you had a land dispute?	I Very confident 2 Somewhat confident 3 Unsure 4 Not confident 5 Very unconfident	
LI9	Wives_ takepos	Do you think it's possible that someone could try to take one of your parcels from you without your permission, say in the next 5 years?	I Yes 2 No	Enumerator should specify only the parcels in targeted commune if the respondent has parcels in other communes
L20	Wives_expro	How likely do think it is that someone would try to take one of your parcels from you in the next 5 years?	Unlikely Somewhat likely Very likely/it is happening now	If 2 >>> L22 If wives_takepos = yes
L21	Wives_reason	Which if any of the following are reasons why you think this could happen? Ongoing or past disputes or expropriation Lack of documents Length of agreement (if lease agreement for example) Problems experienced by others in the community	I More important reason 2 Less important reason 3 Not a reason	If per_takepos = yes
L22	Wives_meet	How many group/village meetings have you attended in the past six months?	Enter number	

L22.1	Wive_meet_n	What kind of meetings have you attended?	 Kitongoji Meetings Village Meetings Farmers' cooperative meetings SACCOS or self-help group meeting School meetings (SMC or parents) Other 	If wives_meet !=0
L22.2	Wives_meetfreq_n	How many times did you attend [MEETING]?	Enter number	
L22.3	Wives_speak	How many of those meetings have you spoken to the group?	Enter number	
L22.4	Wives_speakfreq	How many times did you speak at [MEETING]?	Enter number	If wives_speak != 0
L23	Wives_comfort	Do you feel comfortable speaking at village meetings or in group settings?	I Yes 2 No	
L24	Wives_wgroup	Are there women's groups in the village or surrounding area?	1 Yes 2 No	If yes, continue If 2 >>> L26
L25	Wives_wattend	How many women's group meetings have you attended?	Enter number	If >0, continue
L25.1	Wive_totattend	How many women would you estimate were at the meeting?	Enter number	If many meetings (>10) were attended, this should refer to average.
L26	Wives_Lan_dcd_i	Who primarily decides how to use this household's parcel(s)?	I=Head of household 2=Spouse 3=Both Head and spouse together 4=Other male household member 5=Other female household member 990=Other, specify	
L27	Wives_Lan_inco_i	Who decides how to use any income generated from the use of this household's parcel(s)?	I=Head of household 2=Spouse 3=Both Head and spouse together 4=Other male household member 5=Other female household member 990=Other, specify	
	Next I'd like to ask	about your household's experience with borrowing mo		
L28	Wives_loan	Over the past 12 months, did you or anyone else in this household borrow from someone outsidethe household or from an institution receiving either cash, goods, or services?	I Yes 2 No	If 2 >>> L29
L28.1	Wive_loan_source	What was the source of the loan(s)?	I COMMERCIAL BANKS 2 MICRO-FINANCE INST	Select all that apply

			3 VILLAGE COMMUNITY BANK (VICOBA) 4 NEIGHBOURS / FRIENDS 5 FAMILY 6 NGO OR SELF-HELP GROUPS 7 OTHER INFORMAL MONEY LENDER 990 OTHER, SPECIFY	
L28.2	Wives_loan_dec	Who made the decision to borrow from [SOURCE] most of the time?	I SELF 2 SPOUSE 3 Both spouse and self (joint decision making) 4 OTHER HH MEMBER 5 OTHER NON-HH MEMBER 999 NOT APPLICABLE	Select all that apply
L28.3	Wives_loan_decuse	Who makes the decision about what to do with the money/ item borrowed from [SOURCE] most of the time?	I SELF 2 SPOUSE 3 Both spouse and self 4 OTHER HH MEMBER OTHER NON-HH MEMBER999 NOT APPLICABLE	Select all that apply
L28.4	Wives_loan_use	What did you use this loan/credit for?	I SUBSISTENCE NEEDS 2 MEDICAL COST 3 SCHOOL FEES 4 CEREMONY/WEDDING 5 PURCHASE LAND 6 PURCHASE AGRIC. INPUTS 7 OTHER BUSINESS INPUTS 8 PURCHASE AGRIC. MACHINERY 9 BUY/BUILD DWELLING 990 OTHER(SPECIFY)	
L29	Wives_Lan_doc_i	Do you or your household have any kind of documentation of your rights to your HH's parcels?	I Yes 2 No	If 2 >>> L3 I

L29.1	Wives_Lan_typdoc_i	What kind of documentation? SELECT ALL THAT APPLY	GRANTED RIGHT OF OCCUPANCY CERTIFICATE OF CUSTOMARY RIGHT OF OCCUPANCY INHERITANCE LETTER OTHER GOVERNMENT DOCUMENT OTHER DOCUMENT OR LETTER (NON- GOVERNMENT/UNOFFICIAL)	If land_doc_i=yes next question
L29.2	Wives_Lan_docobtain_i	When did you obtain the documentation?	Year/Month	If wives_land_doc_i=yes next question
L29.3	Wives_Lan_docobtain_i	How many people have ownership rights under this documentation?		Enter number
	Now I am going to re	ead out some statements to you; please tell me how	true each of the statements is about	you.
L30	Wives_Eff_solve	I can always manage to solve my problems if I try hard enough	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L31	Wives_Eff_opp	If someone opposes me, I can find the means and ways to get what I want	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L32	Wives_Eff_acco	I am certain I can accomplish my goals	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L33	Wives_Eff_shocks	I am confident that I could deal effectively with unexpected events	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L34	Wives_Ef_resour	Thanks to my resourcefulness, I can handle unforeseen situations	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L35	Wives_Eff_effort	I can solve most problems if I invest the necessary effort	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L36	Wives_Eff_calm	I can remain calm when facing difficulties because I can rely on my strength to cope	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L37	Wives_Eff_alter	When I am confronted with a problem, I always look for an alternative solution	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L38	Wives_Eff_troub	If I am in trouble, I can think of a good solution	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L39	Wives_Eff_hnd	I can handle whatever comes my way	I not at all true;2 hardly true;3 moderately true;4 exactly true	
L40	Per_landlaw	How well do you understand the official land laws?	I Very well 2 Familiar but don't know the details 3 Familiar with	
		l		l .

			some rules but don't know if they are official law 4 Unsure	
L41	Wives_CCRO	Have you heard of CCROs?	I Yes 2 No	If 2 >>>Wives_LTA
L41.1	Wives_payCCRO	In general, how much (if anything) would you be willing to pay to have one of your parcels surveyed and to receive a CCRO?		Enter amount in TShs.
L42	Wives_LTA	Have you heard of [LTA]?	I Yes 2 No	If 2 >>> Next section. DO NOT PROMPT IF RESPONDENT HAS NOT HEARD OF LTA.
L42.1	Wives_LTArec	Which of the following did you receive through LTA?	 Land was surveyed CCRO Notarized title None of the above 	If Wives_LTA= yes
L42.2	Wives_LTAimpr	What was your impression of LTA?	I Very positive 2 Somewhat positive 3 Neutral 4 Somewhat negative 5 Very negative	If Wives_LTA = yes
L42.3	Wives_docyben	Do you believe that having documentation of your land rights through LTA benefits your household?	I Yes 2 No	
L42.4	Wives_LTAcom	Do you think LTA has benefited your community in any of the following ways: Protects against losing land Protects against disputes with neighbors Makes it easier to rent out Makes it easier to sell Will make inheritance easier Other SELECT ALL THAT APPLY	I. YES 2. NO	If Wives_docyben = yes

L.ATime Allocation

Now I'd like to ask you about how you spent your time during the past 24 hours. We'll begin from yesterday morning, and continue through to this morning. This will be a detailed accounting. I'minterested in everything you do (i.e. resting, eating, personal care, work inside and outside the home, caring for children, cooking, shopping, socializing, etc.), even if it doesn't take you much time.

PLEASE RECORD A LOG OF THE ACTIVITIES FOR THE INDIVIDUAL IN THE LAST COMPLETE 24 HOURS (STARTING YESTERDAY MORNING AT 4 AM, FINISHING 3:59 AM OFTHE CURRENT DAY). THE TIME INTERVALS ARE MARKED IN 15 MIN INTERVALS AND ONE ACTIVITY CAN BE MARKED FOR EACH TIME PERIOD BY DRAWING AN X THROUGH THATACTIVITY.

Activity	Night									M	lorning								Day													
A Sleeping and resting	4			5			6			7			8				9			10				П			12			13		
B Eating and drinking					_	<u> </u>				<u>'</u>	<u> </u>	-	<u>'</u>										_									
C Personal care											П					\top							1						\top	\top	П	
D School (also homework)																																
E Work as employed																																
F Own business work																																
G Farming/livestock/fishing																													_			
H Shopping/getting service (incl health services)																																
Weaving, sewing, textile care																													_	+		
Cooking																																
C Domestic work (incl fetching wood and water)																													+	+		
Care for children/adults/elderly																																
M Travelling and communiting																																
N Watching TV/listening to radio/reading																																
O Exercising																							+									
P Social activities and hobbies																																
Q Religious activities													/																			
R Other, specify																																
Activity		-	Ever	ning	+															Ni	ht											
A Sleeping and resting	17	18		-	<u>.</u>	9			20		•	21	'	•	22		•	23				24			Ť				2	Τ		3
B Eating and drinking																																
C Personal care									7																							
D School (also homework)																																
E Work as employed																													+			
F Own business work							4																									
G Farming/livestock/fishing																													+			
H Shopping/getting service (incl health services)																																
Weaving, sewing, textile care																																
Cooking																																
C Domestic work (incl fetching wood and water)																													+	+		
Care for children/adults/elderly																																
M Travelling and communiting																													+			
N Watching TV/listening to radio/reading																																
O Exercising																																
P Social activities and hobbies																																
Q Religious activities																													+			
R Other, specify																																
, , , , ,																																

Capture GPS at this point

FOR FEMALE HEAD OF HOUSEHOLDS CONTINUE TO SECTION M AFTER FILLING OUT THE TIME USE SURVEY.

M. Sketch map instructions

The purpose of the sketch map exercise is to improve the accuracy with which parcels can be re-identified in follow-up rounds of the survey. The sketch map exercise should be carried out just prior to the Land Holdings and Characteristics section of the questionnaire. The enumerator should draw the sketch map, with instructions from the respondent and any other household members present. The parcel on which the interview is being conducted should be located in the center of the map. Each of the household's other parcels should be indicated on the map according to the distance and direction and the respondent indicates. On the sketch map, the enumerator should record the following for each of the parcels:

- Time it takes to reach that parcel by foot from the home
- Name of the parcel
- Size of the parcel
- How long ago did the household acquire (or begin renting) the parcel?
- Type of terrain
- Land use in the past season (agriculture, left fallow, non-agricultural use)
- If agriculture, the main crop that is grown on the parcel

The map should also show geographic features such as rivers, roads, mountains, and the village center that will help to show where the parcel is.

[TAKE PHOTO OF SKETCH]

GPS STAMP.