



**USAID**  
FROM THE AMERICAN PEOPLE

# IMPACT EVALUATION OF TENURE AND GLOBAL CLIMATE CHANGE (TGCC) PROJECT IN ZAMBIA

**FOCUS GROUP INSTRUMENT  
2014**

2014

This document was produced for review by the United States Agency for International Development. It was prepared by The Cloudburst Group for the Evaluation, Research and Communication (ERC) Task Order under the Strengthening Tenure and Resource Rights (STARR) IDIQ.

## TGCC FOCUS GROUP INSTRUMENT

A. FOCUS GROUP RECORD			
	Chiefdom		
	Village ID		
	Village/locality Name		
	Date of Interview		
	Name of Qualitative Researcher 1 (lead)		
	Name of Qualitative Researcher 2 (recorder)		
	Please indicate the category of respondents for this focus group.	1-Women 2-Land constrained 3-Youth	
	Start Time		
	End Time		

### INTRODUCTION AND CONSENT

Hi, my name is \_\_\_\_\_. I am a researcher working with Rural Net, the U.S. Agency for International Development, Clodburst Group, and Clark University on a study of agroforestry and land tenure across Zambia's Eastern Province. I would like to ask you some questions to better understand these issues in your village. Your participation is entirely voluntary. If you agree to participate, our discussion will last for around 60 minutes. Please rest assured that your answers will remain completely confidential. We will not provide your name and answers to anyone outside of the research team. Do not feel obligated to answer any question that you are not comfortable with and do not hesitate to ask me for a clarification if you think that a question is a bit difficult or unclear. You may stop participating at any time. If you have questions about this survey, you may contact the Research Manager in Zambia, Stephen Tembo, at 0966743100. This study has been approved by the Clark Committee for the Rights of Human Participants in Research and Training Programs (IRB). Any questions about human rights issues should be directed to the IRB Chair, Dr. James P. Elliott (508) 793-7152.

We would be very thankful for your participation. Can we begin?

### I. LAND TENURE

- 1) Are there any groups in this village that receive extra advantages when it comes to land allocation? For example, in your village, is the wealth status of a household important for decisions to allocate additional land to a household? Please explain.
- 2) Are there any groups that have difficulty acquiring land in this village? Please explain.
- 3) Have people in your village lost land because it was reallocated to other people? In these cases, who is responsible for making the decision to reallocate land? For what reasons was the land reallocated? (For instance, was it to settle a dispute, or the land was seen as "idle" because it was in fallow, or had trees on it?) To whom was the land reallocated (For instance, another family member, a neighbor, some one powerful in the village or from outside?)
- 4) Please tell us about women's rights to land in this village. Do women have the right to inherit property in this village? Can women directly apply for land without the participation of husband or family male? For example, if a woman's husband dies, what will happen to the land that she lives and farms on?
- 5) If you could obtain a paper document of your right to use your land, would you? (Record # yes & no) Please explain why or why not. *Please explore the consensus main reasons among group members saying yes versus no.*
- 6) Are you familiar with customary land certificates and the process for getting one for your household? If you don't already have one, would you get one if you could? Why/why not?

## II. LAND RELATED DECISION-MAKING

- 1) First we'd like to ask about land allocation issues, including the reallocation of land in this village from one household to another. What is the most important decision making body in this village that is related to land allocation decisions?
- 2) Do you think that land allocation decisions in this village are transparent? Why or why not? Do you think that land allocation decisions are fair? Why or why not?
- 3) Are you able to hold your decision-makers to account for land allocation decisions that impact land use in this village?
- 4) Now we would like to ask about land management issues, such as the different ways people use land and are allowed to use land in this village. What is the most important decision making body in this village that is related to land management decisions?
- 5) Do you think that land management decisions in this village are transparent? Why or why not? Do you think that land management decisions are fair? Why or why not?
- 6) Are you able to hold your decision-makers to account for land management decisions that impact land use in this village?
- 7) We would like to know how you, (*as women or youth if applicable to this focus group*), participate in decisions related to land choices in this village and whether or not you think you have an influence on those decisions. Can you describe in what ways women/youth are involved in decisions related to land choices in this village? Do women actively participate in these decisions? Why or why not?
- 8) Are women able to influence decisions about land in this village if they want to? Please explain.

## III. LAND DISPUTES

- 1) In your opinion, what are the most common kinds of land disputes experienced in this village? Please explain the nature of these disputes in detail, and the ways in which they are typically resolved.
- 2) When disputes over land arise in this village, who plays the most important role in resolving those disputes? Do you play a role in resolving the disputes? Why or why not?

## IV. LAND MANAGEMENT PRACTICES

Now we would like to ask you questions about the rules that govern land use and management in your community.

- 1) Are there rules in this village which regulate or restrict any the following activities on village land? For each activity, please describe the rule where it exists.
  1. Grazing of livestock on communal lands
  2. Seasonal grazing on fields after harvest
  3. Cutting of trees
  4. Ownership over trees planted in fields
  5. Use of communal lands in this village by outsiders to this area
  6. Setting fires for land clearing, land preparation or post-harvest burning
  7. Fencing of land
- 2) Do you think these rules are fair? Please explain.
- 3) Do people comply with these rules? Please explain.
- 4) Who is primarily responsible for enforcement of rules related to this in this village? How are these rules enforced? Do you think these rules are effectively enforced?

5) What is the typical penalty? Are these penalties enforced equally across different groups in your community? Do you think these penalties are fair?

## V. AGROFORESTRY

1) Do you have access to any agro-forestry extension services in this village? Please describe.

2) Do you plant any trees on your farm? (Record # yes & no) If 'no', please describe why you do not plant any trees on your farm.

3) What are the challenges that you have faced or expect to face if you try to plant trees on your farm?

4) If yes, what sort of trees do you plant and what are the benefits you expect to receive from doing so? Have you obtained any of these benefits from the trees?

5) Is land that you plant with trees at risk of being reallocated? (Record # yes & no) If yes, please describe why and to whom it could be reallocated (someone else in your household, in your village, an elite, or an outsider, etc.).

6) Could you risk causing a dispute if you plant trees on land that you control? (Record # yes & no) If yes, with whom are such disputes likely to occur (for example, another household member, a neighbor, etc)?

7) Do people in your village think that planting trees is a way to strengthen your claim to the land? (Record # yes & no) Please describe.

## RECORDED RESPONSES

If you could obtain a paper document of your right to use your land, would you? \_\_\_\_\_ Yes  
\_\_\_\_\_ No

Do you plant any trees on your farm? \_\_\_\_\_ Yes  
\_\_\_\_\_ No

Is land that you plant with trees at risk of being reallocated? \_\_\_\_\_ Yes  
\_\_\_\_\_ No

Do people in your village think that planting trees is a way to strengthen your claim to the land? \_\_\_\_\_ Yes  
\_\_\_\_\_ No

## VI. FOCUS GROUP PARTICIPANT INFORMATION

Please ask each of the following questions and have the respondents answer orally. No need to record in writing.

B. FOCUS GROUP PARTICIPANT INFORMATION			
	Name of participant		
	How old are you?	Respondent's age at last birthday	
	Are you the head of your household?	0) No    1) Yes	
	What is your tribe?		
	How many years have you lived in this town?		
	What is the highest level of formal education that you have completed?	1      None 2      Grade 1/Sub-standard A 3      Grade 1/Sub-standard B 4      Grade 2/Standard 1 5      Grade 3/Standard 2 6      Grade 4/Standard 3 7      Grade 5/Standard 4 8      Grade 6/Standard 5 9      Grade 7/Standard 6 10     Grade 8/Form 1 11     Grade 9/Form 2 12     Grade 10/Form 3 13     Grade 11/Form 4 14     Grade 12/Form 5 15     Post-secondary 888    Don't know 999    Refused to answer	
	What is the total land area that your household owns, borrows or rents during the last agricultural year (2013/2014)?	1) Less than 1 year 2) 1-5 years 3) 6-10 years 4) More than 10 5) Since birth	
	Does your household have any of the following documentation for any land the household uses?	1      Customary land certificate 2      State land lease/title - 14 year lease 3      State land lease/title - 99 year lease 4      Letter of consent not yet registered with the Ministry of Lands 5      Other documentation	
	If yes, who facilitated obtaining this documentation?	1      Headman 2      Land Committee Member 3      YGL 4      NGO 5      Friend/relative 6      Other	

**U.S. Agency for International Development**

**1300 Pennsylvania Avenue, NW**

**Washington, DC 20523**

**Tel: (202) 712-0000**

**Fax: (202) 216-3524**

**[www.usaid.gov](http://www.usaid.gov)**